| | TH CONGRESS 1ST SESSION S. |
|------|---|
| To 1 | make available needed psychiatric, psychological, and supportive services for individuals with mental illness and families in mental health crisis, and for other purposes. |
| | IN THE SENATE OF THE UNITED STATES |
| Mr. | Murphy introduced the following bill; which was read twice and referred to the Committee on |
| То | A BILL make available needed psychiatric, psychological, and supportive services for individuals with mental illness and families in mental health crisis, and for other purposes. |
| 1 | Be it enacted by the Senate and House of Representa- |
| 2 | tives of the United States of America in Congress assembled, |
| 3 | SECTION 1. SHORT TITLE; TABLE OF CONTENTS. |
| 4 | (a) Short Title.—This Act may be cited as the |
| 5 | " Act of". |
| 6 | (b) Table of Contents.—The table of contents of |
| 7 | this Act is as follows: |

Sec. 1. Short title; table of contents.

Sec. 2. Definitions.

TITLE I—ASSISTANT SECRETARY FOR MENTAL HEALTH AND SUBSTANCE USE DISORDERS

- Sec. 101. Assistant Secretary for mental health and substance use disorders.
- Sec. 102. Reports.
- Sec. 103. Advisory Council on graduate medical education.

TITLE II—GRANTS

- Sec. 201. National Mental Health Policy Laboratory.
- Sec. 202. Innovation grants.
- Sec. 203. Demonstration grants.
- Sec. 204. Early childhood intervention and treatment.
- Sec. 205. Extension of assisted outpatient treatment grant program for individuals with serious mental illness.
- Sec. 206. Block grants.
- Sec. 207. Telehealth child psychiatry access grants.
- Sec. 208. Liability protections for health care professional volunteers at community health centers and community mental health centers.
- Sec. 209. Minority fellowship program.
- Sec. 210. National health service corps.
- Sec. 211. Reauthorization of mental and behavioral health education training grant.

TITLE III—INTEGRATION

Sec. 301. Primary and behavioral health care integration grant programs.

TITLE IV—INTERAGENCY SERIOUS MENTAL ILLNESS COORDINATING COMMITTEE

Sec. 401. Interagency Serious Mental Illness Coordinating Committee.

TITLE V—HIPAA CLARIFICATION

- Sec. 501. Findings.
- Sec. 502. Modifications to HIPAA.
- Sec. 503. Development and dissemination of model training programs.
- Sec. 504. Confidentiality of records.

TITLE VI—MEDICARE AND MEDICAID REFORMS

- Sec. 601. Enhanced medicaid coverage relating to certain mental health services.
- Sec. 602. Modifications to medicare discharge planning requirements.

TITLE VII—RESEARCH BY NATIONAL INSTITUTE OF MENTAL HEALTH

Sec. 701. Increase in funding for certain research.

TITLE VIII—SAMHSA REAUTHORIZATION AND REFORMS

Subtitle A—Organization and General Authorities

- Sec. 801. Peer review.
- Sec. 802. Advisory councils.
- Sec. 803. Grants for jail diversion programs reauthorization.
- Sec. 804. Projects for assistance in transition from homelessness.

Sec. 805. Comprehensive community mental health services for children with serious emotional disturbances.

Sec. 806. Reauthorization of priority mental health needs of regional and national significance.

TITLE IX—MENTAL HEALTH PARITY

- Sec. 901. GAO study on preventing discriminatory coverage limitations for individuals with serious mental illness and substance use disorders.
- Sec. 902. Report on investigations regarding parity in mental health and substance use disorder benefits.
- Sec. 903. Strengthening parity in mental health and substance use disorder benefits.

1 SEC. 2. DEFINITIONS.

- 2 In this Act:
- 3 (1) Assistant secretary.—Except as other-
- 4 wise specified, the term "Assistant Secretary"
- 5 means the Assistant Secretary for Mental Health
- 6 and Substance Use Disorders.
- 7 (2) EVIDENCE-BASED.—The term "evidence-
- 8 based" means the conscientious, systematic, explicit,
- 9 and judicious appraisal and use of external, current,
- reliable, and valid research findings as the basis for
- making decisions about the effectiveness and efficacy
- of a program, intervention, or treatment.

13 TITLE I—ASSISTANT SECRETARY

- 14 FOR MENTAL HEALTH AND
- 15 SUBSTANCE USE DISORDERS
- 16 SEC. 101. ASSISTANT SECRETARY FOR MENTAL HEALTH
- 17 AND SUBSTANCE USE DISORDERS.
- 18 (a) In General.—There shall be in the Department
- 19 of Health and Human Services an official to be known

| 1 | as the Assistant Secretary for Mental Health and Sub- |
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| 2 | stance Use Disorders, who shall— |
| 3 | (1) report directly to the Secretary; |
| 4 | (2) be appointed by the President, by and with |
| 5 | the advice and consent of the Senate; and |
| 6 | (3) be selected from among individuals who— |
| 7 | (A)(i) have a doctoral degree in medicine |
| 8 | or osteopathic medicine; |
| 9 | (ii) have clinical, research and policy expe- |
| 10 | rience in psychiatry; |
| 11 | (iii) graduated from an Accreditation |
| 12 | Council for Graduate Medical Education-ac- |
| 13 | credited psychiatric residency program; and |
| 14 | (iv) have an understanding of biological, |
| 15 | psychosocial, and pharmaceutical treatments of |
| 16 | mental illness and substance use disorders; |
| 17 | (B) have a doctoral degree in psychology |
| 18 | with— |
| 19 | (i) clinical, research, and policy expe- |
| 20 | rience regarding mental illness and sub- |
| 21 | stance use disorders; |
| 22 | (ii) completed an internship accredited |
| 23 | by the Association of Psychology Post-doc- |
| 24 | toral and Internship Centers as part of |
| 25 | doctoral degree completion; and |

| 1 | (iii) an understanding of biological, |
|----|--|
| 2 | psychosocial, and pharmaceutical treat- |
| 3 | ments of mental illness and substance use |
| 4 | disorders; or |
| 5 | (C) have a doctoral degree in social work |
| 6 | with— |
| 7 | (i) clinical, research, and policy expe- |
| 8 | rience regarding mental illness and sub- |
| 9 | stance use disorders; |
| 10 | (ii) completed an internship accredited |
| 11 | by the Council on Social Work Education; |
| 12 | and |
| 13 | (iii) an understanding of biological, |
| 14 | psychosocial, and pharmaceutical treat- |
| 15 | ments of mental illness and substance use |
| 16 | disorders. |
| 17 | (b) SAMHSA Administrator.—Section 501(c)(1) |
| 18 | of the Public Health Service Act (42 U.S.C. 290aa(c)(1)) |
| 19 | is amended by striking "the President, by and with the |
| 20 | advice and consent of the Senate" and inserting ", and |
| 21 | serve under, the Assistant Secretary for Mental Health |
| 22 | and Substance Use Disorders". |
| 23 | (c) Duties.—The Assistant Secretary shall— |
| 24 | (1) promote, evaluate, organize, integrate, and |
| 25 | coordinate research, treatment, and services across |

| 1 | departments, agencies, organizations, and individ- |
|----|---|
| 2 | uals with respect to the problems of individuals suf- |
| 3 | fering from substance use disorders or mental ill- |
| 4 | ness; |
| 5 | (2) carry out any functions within the Depart- |
| 6 | ment of Health and Human Services— |
| 7 | (A) to improve the diagnosis, prevention, |
| 8 | intervention and treatment of, and related serv- |
| 9 | ices to, individuals with respect to substance |
| 10 | use disorders or mental illness; |
| 11 | (B) to ensure access to effective, evidence- |
| 12 | based diagnosis, prevention, intervention, treat- |
| 13 | ment for, or rehabilitation of, individuals with |
| 14 | mental illnesses and individuals with a sub- |
| 15 | stance use disorder; |
| 16 | (C) to ensure that all grants with respect |
| 17 | to serious mental illness or substance use dis- |
| 18 | orders, are consistent with the grant manage- |
| 19 | ment standards set forth by the Department, |
| 20 | and that such grants are evidence-based, have |
| 21 | scientific merit and avoid duplication; |
| 22 | (D) to develop and implement initiatives to |
| 23 | encourage individuals to pursue careers (espe- |
| 24 | cially in underserved areas and populations) as |
| 25 | psychiatrists, psychologists, psychiatric nurse |

| 1 | practitioners, clinical social workers, and other |
|----|--|
| 2 | licensed mental health professionals specializing |
| 3 | in the diagnosis, evaluation, and treatment of |
| 4 | individuals with severe mental illness, and with |
| 5 | an understanding of family involvement; |
| 6 | (E) to consult, coordinate with, facilitate |
| 7 | joint efforts among, and support State, local, |
| 8 | and tribal governments, nongovernmental enti- |
| 9 | ties, and individuals with a mental illness, par- |
| 10 | ticularly individuals with a serious mental ill- |
| 11 | ness and children and adolescents with a seri- |
| 12 | ous emotional disturbance, with respect to im- |
| 13 | proving community-based and other mental |
| 14 | health services; |
| 15 | (F) to disseminate evidenced-based and |
| 16 | promising best practices developed by the Na- |
| 17 | tional Mental Health Policy Lab established |
| 18 | under section 201 and other qualified research |
| 19 | organizations that are culturally and linguis- |
| 20 | tically indicated treatment and prevention serv- |
| 21 | ices related to a mental illness, particularly in- |
| 22 | dividuals with a serious mental illness and chil- |
| 23 | dren and adolescents with a serious emotional |
| 24 | disturbance; and |

| 1 | (G) to develop criteria for the application |
|----|--|
| 2 | of best practices within the mental health and |
| 3 | substance use disorder service delivery system; |
| 4 | (3) within the Department of Health and |
| 5 | Human Services, oversee and coordinate all pro- |
| 6 | grams and activities relating to— |
| 7 | (A) the diagnosis, prevention, and inter- |
| 8 | vention or treatment of, or rehabilitation for, |
| 9 | mental health or substance use disorders; |
| 10 | (B) parity in health insurance benefits and |
| 11 | conditions relating to mental health and sub- |
| 12 | stance use disorders; or |
| 13 | (C) the reduction of homelessness among |
| 14 | individuals with mental health and substance |
| 15 | use disorders; |
| 16 | (4) make recommendations to the Secretary of |
| 17 | Health and Human Services regarding public par- |
| 18 | ticipation in decisions relating to mental health, in- |
| 19 | cluding serious mental illness, and serious emotional |
| 20 | disturbances across the lifespan; |
| 21 | (5) review and make recommendations with re- |
| 22 | spect to the Department of Health and Human |
| 23 | Services budget to ensure the adequacy of those |
| 24 | budgets; |

| 1 | (b) across the Federal Government, in conjunc- |
|----|--|
| 2 | tion with the Interagency Serious Mental Illness Co- |
| 3 | ordinating Committee under section 501A of the |
| 4 | Public Health Service Act (as added by section |
| 5 | 401)— |
| 6 | (A) review all programs and activities re- |
| 7 | lating to the diagnosis, prevention of, or treat- |
| 8 | ment or rehabilitation for, mental illness or |
| 9 | substance use disorders; |
| 10 | (B) identify any such programs and activi- |
| 11 | ties that are duplicative; |
| 12 | (C) identify any such programs and activi- |
| 13 | ties that are not evidence-based, effective, or ef- |
| 14 | ficient; and |
| 15 | (D) formulate recommendations for ex- |
| 16 | panding, coordinating, eliminating, and improv- |
| 17 | ing programs and activities identified pursuant |
| 18 | to subparagraphs (B) and (C) and merging |
| 19 | such programs and activities into other, suc- |
| 20 | cessful programs and activities; |
| 21 | (7) identify evidence-based and promising best |
| 22 | practices across the Federal Government for treat- |
| 23 | ment and services for individuals with mental health |
| 24 | and substance use disorders by reviewing practices |
| | |

| 1 | for efficiency, effectiveness, quality, coordination, |
|----|---|
| 2 | and cost effectiveness; and |
| 3 | (8) not later than 18 months after the date of |
| 4 | enactment of this Act and every 2 years thereafter, |
| 5 | submit to Congress a report containing a nationwide |
| 6 | strategy to increase the mental health workforce and |
| 7 | recruit medical professionals who recognize the role |
| 8 | of the family, for the treatment of individuals with |
| 9 | mental illness and substance use disorders. |
| 10 | (d) Nationwide Strategy.—The Assistant Sec- |
| 11 | retary shall ensure that the nationwide strategy in the re- |
| 12 | port under subsection (c)(8) is designed— |
| 13 | (1) to encourage and incentivize students en- |
| 14 | rolled in an accredited medical or osteopathic school, |
| 15 | or nursing, psychology, or social work graduate pro- |
| 16 | gram, to specialize in the mental health field; |
| 17 | (2) to promote greater research-oriented psy- |
| 18 | chiatric, psychological, nursing, and social work |
| 19 | training on evidence-based service delivery models |
| 20 | for individuals with mental illness or substance use |
| 21 | disorders, including models with family participation; |
| 22 | (3) to promote appropriate Federal administra- |
| 23 | tive and fiscal mechanisms that support— |
| 24 | (A) evidence-based collaborative care mod- |
| 25 | els; and |

| 1 | (B) the necessary mental health workforce |
|----|---|
| 2 | capacity for the models under subparagraph |
| 3 | (A), including psychiatrists, child and adoles- |
| 4 | cent psychiatrists, psychologists, psychiatric |
| 5 | nurse practitioners, clinical social workers, and |
| 6 | mental health, peer-support specialists; |
| 7 | (4) to increase access to child and adolescent |
| 8 | psychiatric services in order to promote early inter- |
| 9 | vention for prevention and mitigation of mental ill- |
| 10 | ness; and |
| 11 | (5) to identify populations and locations that |
| 12 | are the most underserved by mental health profes- |
| 13 | sionals, including psychiatrists, child and adolescent |
| 14 | psychiatrists, psychologists, psychiatric nurse practi- |
| 15 | tioners, clinical social workers, other licensed mental |
| 16 | health professionals, and peer-support specialists. |
| 17 | (e) Prioritization of Integration of Services, |
| 18 | EARLY DIAGNOSIS, INTERVENTION, AND WORKFORCE |
| 19 | DEVELOPMENT.—In carrying out the duties described in |
| 20 | subsection (c), the Assistant Secretary— |
| 21 | (1) shall prioritize— |
| 22 | (A) the integration of mental health, sub- |
| 23 | stance use, and physical health services for the |
| 24 | purpose of diagnosing, preventing, treating, or |
| 25 | providing rehabilitation for mental illness or |

| 1 | substance use disorders, including any such |
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| 2 | services provided through the justice system |
| 3 | (including departments of correction) or other |
| 4 | entities other than the Department of Health |
| 5 | and Human Services; |
| 6 | (B) the early diagnosis and intervention |
| 7 | services for the prevention of, or crisis interven- |
| 8 | tion for and treatment or rehabilitation for, se- |
| 9 | rious mental health disorders or substance use |
| 10 | disorders, in selecting evidence-based practices |
| 11 | and service delivery models for evaluation and |
| 12 | dissemination under section 201(a)(2)(C); and |
| 13 | (C) workforce development for— |
| 14 | (i) appropriate treatment of serious |
| 15 | mental illness or substance use disorders; |
| 16 | and |
| 17 | (ii) research activities that advance |
| 18 | scientific and clinical understandings of se- |
| 19 | rious mental illness or substance use dis- |
| 20 | orders, including the development and im- |
| 21 | plementation of a continuing nationwide |
| 22 | strategy to increase the psychiatric work- |
| 23 | force by increasing the number of psychia- |
| 24 | trists, child and adolescent psychiatrists, |
| 25 | psychologists, psychiatric nurse practi- |

| 1 | tioners, clinical social workers, and mental |
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| 2 | health peer support specialists; |
| 3 | (2) shall give preference to models that improve |
| 4 | the coordination, quality, and efficiency of health |
| 5 | care services furnished to individuals with serious |
| 6 | mental illness; and |
| 7 | (3) may include clinical protocols and practices |
| 8 | used in the Recovery After an Initial Schizophrenia |
| 9 | Episode project of the National Institute of Mental |
| 10 | Health or similar models, such as the Specialized |
| 11 | Treatment Early in Psychosis program. |
| 12 | SEC. 102. REPORTS. |
| 13 | (a) Report on Best Practices for Peer-sup- |
| 14 | PORT SPECIALIST PROGRAMS, TRAINING, AND CERTIFI- |
| 15 | CATION.— |
| 16 | (1) In general.—Not later than 18 months |
| 17 | after the date of enactment of this Act, and bian- |
| 18 | nually thereafter, the Assistant Secretary shall sub- |
| 19 | mit to Congress and make publicly available a report |
| 20 | on best practices and professional standards in |
| 21 | States for— |
| 22 | (A) establishing and operating health care |
| 23 | programs using peer-support specialists; and |
| 24 | (B) training and certifying peer-support |
| 25 | specialists. |

| 1 | (2) Peer-support specialist defined.—In |
|----|---|
| 2 | this subsection, the term "peer-support specialist" |
| 3 | means an individual who— |
| 4 | (A) uses his or her lived experience of re- |
| 5 | covery from mental illness or substance abuse, |
| 6 | plus skills learned in formal training, to facili- |
| 7 | tate support groups, and to work on a one-on- |
| 8 | one basis, with individuals with a serious men- |
| 9 | tal illness or a substance use disorder, in con- |
| 10 | sultation with, and under the supervision of, a |
| 11 | licensed mental health or substance use treat- |
| 12 | ment professional; |
| 13 | (B) has been an active participant in men- |
| 14 | tal health or substance use treatment for at |
| 15 | least the preceding 2 years; |
| 16 | (C) does not provide direct medical serv- |
| 17 | ices; and |
| 18 | (D) does not perform services outside of |
| 19 | his or her area of training, expertise, com- |
| 20 | petence, or scope of practice. |
| 21 | (3) Contents.—Each report under this sub- |
| 22 | section shall include information on best practices |
| 23 | and standards with regard to the following: |

| 1 | (A) Hours of formal work or volunteer ex- |
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| 2 | perience related to mental health and substance |
| 3 | use issues. |
| 4 | (B) Types of peer specialist exams re- |
| 5 | quired. |
| 6 | (C) Code of ethics. |
| 7 | (D) Additional training required prior to |
| 8 | certification, including in areas such as— |
| 9 | (i) ethics; |
| 10 | (ii) scope of practice; |
| 11 | (iii) crisis intervention; |
| 12 | (iv) State confidentiality laws; |
| 13 | (v) Federal privacy protections, in- |
| 14 | cluding under the Health Insurance Port- |
| 15 | ability and Accountability Act of 1996 |
| 16 | (Public Law 104–191); and |
| 17 | (vi) other areas, as determined by the |
| 18 | Assistant Secretary. |
| 19 | (E) Requirements to explain what, where |
| 20 | when, and how to accurately complete all re- |
| 21 | quired documentation activities. |
| 22 | (F) Required or recommended skill sets |
| 23 | including— |
| 24 | (i) identifying risk indicators and re- |
| 25 | sponding appropriately to individual |
| | |

| 1 | stressors, triggers, and indicators of esca- |
|----|---|
| 2 | lating symptoms; |
| 3 | (ii) explaining basic de-escalation |
| 4 | techniques; |
| 5 | (iii) explaining basic suicide preven- |
| 6 | tion concepts and techniques; |
| 7 | (iv) identifying indicators that an in- |
| 8 | dividual may be experiencing abuse or ne- |
| 9 | glect; |
| 10 | (v) identifying the individual's current |
| 11 | stage of change or recovery; |
| 12 | (vi) explaining the typical process that |
| 13 | should be followed to access or participate |
| 14 | in community mental health and related |
| 15 | services; and |
| 16 | (vii) identifying circumstances when it |
| 17 | is appropriate to request assistance from |
| 18 | other professionals to help meet the indi- |
| 19 | vidual's recovery goals. |
| 20 | (G) Annual requirements for continuing |
| 21 | education credits. |
| 22 | (b) Report on Mental Health and Substance |
| 23 | USE TREATMENT IN THE STATES.— |
| 24 | (1) In general.—Not later than 18 months |
| 25 | after the date of enactment of this Act, and not less |

| 1 | than every 18 months thereafter, the Assistant Sec- |
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| 2 | retary for Mental Health and Substance Use Dis- |
| 3 | orders, in collaboration with the Director of the |
| 4 | Agency for Healthcare Research and Quality and |
| 5 | Director of the National Institutes of Health, shall |
| 6 | submit to Congress and make available to the public |
| 7 | a report on mental health and substance use treat- |
| 8 | ment in the States, including the following: |
| 9 | (A) A detailed report on how Federal men- |
| 10 | tal health and substance use treatment funds |
| 11 | are used in each State, including: |
| 12 | (i) The numbers of individuals with |
| 13 | serious mental illness or substance use dis- |
| 14 | orders who are served with Federal funds. |
| 15 | (ii) The types of programs made avail- |
| 16 | able to individuals with serious mental ill- |
| 17 | ness or substance use disorders. |
| 18 | (B) A summary of best practice models in |
| 19 | the States highlighting programs that are cost |
| 20 | effective, provide evidence-based care, increase |
| 21 | access to care, integrate physical, psychiatric, |
| 22 | psychological, and behavioral medicine, and im- |
| 23 | prove outcomes for individuals with serious |
| 24 | mental illness or substance use disorders. |
| | |

| 1 | (C) A statistical report of outcome meas- |
|----|---|
| 2 | ures in each State, including— |
| 3 | (i) rates of suicide, suicide attempts, |
| 4 | substance abuse, overdose, overdose |
| 5 | deaths, emergency psychiatric hospitaliza- |
| 6 | tions, and emergency room boarding; and |
| 7 | (ii) with respect to individuals with |
| 8 | mental illness, health outcomes, emergency |
| 9 | psychiatric hospitalizations and emergency |
| 10 | room boarding, arrests, incarcerations, vic- |
| 11 | timization, homelessness, joblessness, em- |
| 12 | ployment, and enrollment in educational or |
| 13 | vocational programs. |
| 14 | (D) A comparison effectiveness research |
| 15 | study analyzing outcomes for different models |
| 16 | of outpatient treatment programs for the seri- |
| 17 | ously mentally ill that include outpatient mental |
| 18 | health services that are court ordered or vol- |
| 19 | untary, including— |
| 20 | (i) rates of keeping treatment ap- |
| 21 | pointments and compliance with prescribed |
| 22 | medications; |
| 23 | (ii) participants' perceived effective- |
| 24 | ness of the program; |

| 1 | (iii) rates of the programs helping in- |
|----|--|
| 2 | dividuals with serious mental illness gain |
| 3 | control over their lives; |
| 4 | (iv) alcohol and drug abuse rates; |
| 5 | (v) incarceration and arrest rates; |
| 6 | (vi) violence against persons or prop- |
| 7 | erty; |
| 8 | (vii) homelessness; |
| 9 | (viii) total treatment costs for compli- |
| 10 | ance with program; and |
| 11 | (ix) health outcomes. |
| 12 | (2) Definition.—In this subsection, the term |
| 13 | "emergency room boarding" means the practice of |
| 14 | admitting patients to an emergency department and |
| 15 | holding such patients in the department until inpa- |
| 16 | tient psychiatric beds become available. |
| 17 | (c) Reporting Compliance Study.— |
| 18 | (1) IN GENERAL.—The Assistant Secretary for |
| 19 | Mental Health and Substance Use Disorders shall |
| 20 | enter into an arrangement with the National Acad- |
| 21 | emy of Medicine (or, if the National Academy of |
| 22 | Medicine declines, another appropriate entity) under |
| 23 | which, not later than 18 months after the date of |
| 24 | enactment of this Act, the National Academy of |
| 25 | Medicine will submit to the appropriate committees |

| 1 | of Congress a report that evaluates the combined pa- |
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| 2 | perwork burden of— |
| 3 | (A) community mental health centers |
| 4 | meeting the criteria specified in section 1913(c) |
| 5 | of the Public Health Service Act (42 U.S.C. |
| 6 | 300x-2), including such centers meeting such |
| 7 | criteria as in effect on the day before the date |
| 8 | of enactment of this Act; and |
| 9 | (B) community mental health centers, as |
| 10 | defined in section 1861(ff)(3)(B) of the Social |
| 11 | Security Act. |
| 12 | (2) Scope.—In preparing the report under sub- |
| 13 | section (a), the National Academy of Medicine (or, |
| 14 | if applicable, other appropriate entity) shall examine |
| 15 | licensing, certification, service definitions, claims |
| 16 | payment, billing codes, and financial auditing re- |
| 17 | quirements used by the Office of Management and |
| 18 | Budget, the Centers for Medicare & Medicaid Serv- |
| 19 | ices, the Health Resources and Services Administra- |
| 20 | tion, the Substance Abuse and Mental Health Serv- |
| 21 | ices Administration, the Office of the Inspector Gen- |
| 22 | eral of the Department of Health and Human Serv- |
| 23 | ices, State Medicaid agencies, State departments of |
| 24 | health, State departments of education, and State |
| 25 | and local juvenile justice and social service agencies |

| 1 | to make administrative and statutory recommenda- |
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| 2 | tions to Congress (which recommendations may in- |
| 3 | clude a uniform methodology) to reduce the paper- |
| 4 | work burden experienced by centers and clinics de- |
| 5 | scribed in paragraph (1). |
| 6 | SEC. 103. ADVISORY COUNCIL ON GRADUATE MEDICAL |
| 7 | EDUCATION. |
| 8 | (a) In General.—Section 762(b) of the Public |
| 9 | Health Service Act (42 U.S.C. 294o(b)) is amended— |
| 10 | (1) by redesignating paragraphs (4) through |
| 11 | (6) as paragraphs (5) through (7), respectively; and |
| 12 | (2) by inserting after paragraph (3) the fol- |
| 13 | lowing: |
| 14 | "(4) the Assistant Secretary for Mental Health |
| 15 | and Substance Use Disorders;". |
| 16 | (b) Conforming Amendment.—Section 762(c) of |
| 17 | the Public Health Service Act (42 U.S.C. 294o(c)) is |
| 18 | amended by striking "paragraphs (4), (5), and (6)" each |
| 19 | place it appears and inserting "paragraphs (5), (6), and |
| 20 | (7)". |
| 21 | TITLE II—GRANTS |
| 22 | SEC. 201. NATIONAL MENTAL HEALTH POLICY LABORA- |
| 23 | TORY. |
| 24 | (a) In General.— |

| 1 | (1) Establishment.—The Assistant Secretary |
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| 2 | for Mental Health and Substance Use Disorders |
| 3 | shall establish, within the Office of the Assistant |
| 4 | Secretary, the National Mental Health Policy Lab- |
| 5 | oratory (in this section referred to as the |
| 6 | "NMHPL"), to be headed by a Director. |
| 7 | (2) Duties.—The Director of the NMHPL |
| 8 | shall— |
| 9 | (A) identify, coordinate, and implement |
| 10 | policy changes and other trends likely to have |
| 11 | the most significant impact on mental health |
| 12 | services and monitor their impact; |
| 13 | (B) collect information from grantees |
| 14 | under programs established or amended by this |
| 15 | Act and under other mental health programs |
| 16 | under the Public Health Service Act, including |
| 17 | grantees that are States receiving funds under |
| 18 | a block grant under part B of title XIX of the |
| 19 | Public Health Service Act (42 U.S.C. 300x et |
| 20 | seq.); |
| 21 | (C) evaluate and disseminate to such |
| 22 | grantees evidence-based practices and services |
| 23 | delivery models using the best available science |
| 24 | shown to be cost-effective while enhancing the |
| 25 | quality of care furnished to individuals; and |

| 1 | (D) establish standards for the appoint- |
|----|--|
| 2 | ment of scientific peer-review panels to evaluate |
| 3 | grant applications. |
| 4 | (3) EVIDENCE-BASED PRACTICES AND SERVICE |
| 5 | DELIVERY MODELS.—In selecting evidence-based |
| 6 | best practices and service delivery models for evalua- |
| 7 | tion and dissemination under paragraph (2)(C), the |
| 8 | Director of the NMHPL— |
| 9 | (A) shall give preference to models that— |
| 10 | (i) improve the coordination between |
| 11 | mental health and physical health pro- |
| 12 | viders; |
| 13 | (ii) improve the coordination among |
| 14 | such providers and the justice and correc- |
| 15 | tions system; |
| 16 | (iii) improve the cost effectiveness, |
| 17 | quality, effectiveness, and efficiency of |
| 18 | health care services furnished to individ- |
| 19 | uals with serious mental illness, in mental |
| 20 | health crisis, or at risk to themselves, their |
| 21 | families, and the general public; and |
| 22 | (iv) recognize the importance of fam- |
| 23 | ily participation in recovery; and |
| 24 | (B) may include clinical protocols and |
| 25 | practices used in the Recovery After Initial |

| 1 | Schizophrenia Episode project of the National |
|----|---|
| 2 | Institute of Mental Health and the Specialized |
| 3 | Treatment Early in Psychosis program. |
| 4 | (4) Deadline for beginning implementa- |
| 5 | TION.—The Director of the NMHPL shall begin im- |
| 6 | plementation of the duties described in this sub- |
| 7 | section not later than January 1, 2018. |
| 8 | (5) Consultation.—In carrying out the duties |
| 9 | under this subsection, the Director of the NMHPL |
| 10 | may consult with— |
| 11 | (A) representatives of the National Insti- |
| 12 | tute of Mental Health on organizational and |
| 13 | operational issues; |
| 14 | (B) other appropriate Federal agencies; |
| 15 | (C) clinical and analytical experts with ex- |
| 16 | pertise in medicine, psychiatric and clinical psy- |
| 17 | chological care, health care management, edu- |
| 18 | cation, corrections health care, social services, |
| 19 | and mental health court systems; and |
| 20 | (D) other individuals and agencies as the |
| 21 | Assistant Secretary determines appropriate. |
| 22 | (b) Staffing.— |
| 23 | (1) Composition.—In selecting the staff of the |
| 24 | NMHPL, the Director of the NMHPL, in consulta- |
| 25 | tion with the Director of the National Institute of |

| 1 | Mental Health, shall include individuals with ad- |
|----|--|
| 2 | vanced degrees and clinical and research experience, |
| 3 | and who have an understanding of biological, psy- |
| 4 | chosocial, and pharmaceutical treatments of mental |
| 5 | illness and substance use disorders, including— |
| 6 | (A) individuals with a medical degree or |
| 7 | doctoral degree from an accredited program |
| 8 | in— |
| 9 | (i) allopathic or osteopathic medicine. |
| 10 | and who have specialized training in psy- |
| 11 | chiatry; |
| 12 | (ii) psychology; or |
| 13 | (iii) social work; |
| 14 | (B) professionals or academics with clinical |
| 15 | or research expertise in substance use disorders |
| 16 | and treatment; and |
| 17 | (C) professionals or academics with exper- |
| 18 | tise in research design and methodologies. |
| 19 | (c) REPORT ON QUALITY OF CARE.—Not later than |
| 20 | 2 years after the date of enactment of this Act, and every |
| 21 | 2 years thereafter, the Director of the NMHPL shall sub- |
| 22 | mit to Congress a report on the quality of care furnished |
| 23 | through grant programs administered by the Assistant |
| 24 | Secretary under the respective services delivery models, in- |
| | |

cluding measurement of patient-level outcomes and public 2 health outcomes, such as— 3 (1) reduced rates of suicide, suicide attempts, 4 substance abuse, overdose, overdose deaths, emer-5 gency psychiatric hospitalizations, emergency room 6 boarding, incarceration, crime, arrest, victimization, 7 homelessness, and joblessness; 8 (2) rates of employment and enrollment in edu-9 cational and vocational programs; and 10 (3) such other criteria as the Director may de-11 termine. 12 (d) Definition.—In this section, the term "emergency room boarding" means the practice of admitting patients to an emergency department and holding such pa-14 tients in the department until inpatient psychiatric beds become available. 16 SEC. 202. INNOVATION GRANTS. 18 (a) In General.—The Assistant Secretary shall 19 award grants to State and local governments, educational 20 institutions, and nonprofit organizations for expanding a 21 model that has been scientifically demonstrated to show promise, but would benefit from further applied research, 23 for—

| 1 | (1) enhancing the screening, diagnosis, and |
|----|--|
| 2 | treatment of mental illness and serious mental ill- |
| 3 | ness; or |
| 4 | (2) integrating or coordinating physical, mental |
| 5 | health, and substance use services. |
| 6 | (b) Duration.—A grant under this section shall be |
| 7 | for a period of not more than 3 years. |
| 8 | (c) Limitations.—Of the amounts made available |
| 9 | for carrying out this section for a fiscal year— |
| 10 | (1) not more than one-third shall be awarded |
| 11 | for use for prevention; and |
| 12 | (2) not less than one-third shall be awarded for |
| 13 | screening, diagnosis, treatment, or services, as de- |
| 14 | scribed in subsection (a), for individuals (or sub- |
| 15 | populations of individuals) who are below the age of |
| 16 | 18 when activities funded through the grant award |
| 17 | are initiated. |
| 18 | (d) Guidelines.—As a condition on receipt of an |
| 19 | award under this section, an applicant shall agree to ad- |
| 20 | here to guidelines issued by the National Mental Health |
| 21 | Policy Laboratory on research designs and data collection. |
| 22 | (e) Authorization of Appropriations.—To carry |
| 23 | out this section, there are authorized to be appropriated |
| 24 | \$10,000,000 for each of fiscal years 2017 through 2021. |
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| 2 | (a) Grants.—The Assistant Secretary shall award |
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| 3 | grants to States, counties, local governments, educational |
| 4 | institutions, and private nonprofit organizations for the |
| 5 | expansion, replication, or scaling of evidence-based pro- |
| 6 | grams across a wider area to enhance effective screening, |
| 7 | early diagnosis, intervention, and treatment with respect |
| 8 | to mental illness and serious mental illness, primarily by— |
| 9 | (1) applied delivery of care, including training |
| 10 | staff in effective evidence-based treatment; and |
| 11 | (2) integrating models of care across specialties |
| 12 | and jurisdictions. |
| 13 | (b) Duration.—A grant under this section shall be |
| 14 | for a period of not less than 2 years and not more than |
| 15 | 5 years. |
| 16 | (c) Limitations.—Of the amounts made available |
| 17 | for carrying out this section for a fiscal year— |
| 18 | (1) not less than half shall be awarded for |
| 19 | screening, diagnosis, intervention, and treatment, as |
| 20 | described in subsection (a), for individuals (or sub- |
| 21 | populations of individuals) who are below the age of |
| 22 | 26 when activities funded through the grant award |
| 23 | are initiated; |
| 24 | (2) no amounts shall be made available for any |
| 25 | program or project that is not evidence-based; |

| 1 | (3) no amounts shall be made available for pri- |
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| 2 | mary prevention; and |
| 3 | (4) no amounts shall be made available solely |
| 4 | for the purpose of expanding facilities or increasing |
| 5 | staff at an existing program. |
| 6 | (d) Guidelines.—As a condition on receipt of an |
| 7 | award under this section, an applicant shall agree to ad- |
| 8 | here to guidelines issued by the National Mental Health |
| 9 | Policy Laboratory (established under section 201) on re- |
| 10 | search designs and data collection. |
| 11 | (e) Reporting.—As a condition on receipt of an |
| 12 | award under this section, an applicant shall agree— |
| 13 | (1) to report to the National Mental Health |
| 14 | Policy Laboratory and the Assistant Secretary the |
| 15 | results of programs and activities funded through |
| 16 | the award; and |
| 17 | (2) to include in such reporting any relevant |
| 18 | data requested by the National Mental Health Policy |
| 19 | Laboratory and the Assistant Secretary. |
| 20 | (f) Authorization of Appropriations.—To carry |
| 21 | out this section, there are authorized to be appropriated |
| 22 | \$10,000,000 for each of fiscal years 2017 through 2021. |
| | |

| 1 | SEC. 204. EARLY CHILDHOOD INTERVENTION AND TREAT- |
|----|--|
| 2 | MENT. |
| 3 | (a) Grants.—The Director of the National Mental |
| 4 | Health Policy Laboratory (in this section referred to as |
| 5 | the "NMHPL") shall— |
| 6 | (1) award grants to eligible entities to initiate |
| 7 | and undertake early childhood intervention and |
| 8 | treatment programs, and specialized preschool and |
| 9 | elementary school programs for children at signifi- |
| 10 | cant risk or who show early signs of social or emo- |
| 11 | tional disability (in addition to any learning dis- |
| 12 | ability); and |
| 13 | (2) ensure that programs funded through |
| 14 | grants under this section are based on promising or |
| 15 | evidence-based models and methods that are cul- |
| 16 | turally and linguistically relevant and can be rep- |
| 17 | licated in other settings. |
| 18 | (b) Eligible Entities and Children.—In this |
| 19 | section: |
| 20 | (1) ELIGIBLE ENTITY.—The term "eligible enti- |
| 21 | ty" means a nonprofit institution that— |
| 22 | (A) is accredited by a State mental health |
| 23 | or education agency, as applicable, for the |
| 24 | intervention, treatment, or education of children |
| 25 | from 3 to 12 years of age; and |

| 1 | (B) provides services that include early |
|----|---|
| 2 | intervention and treatment or specialized pre- |
| 3 | school and elementary school programs focused |
| 4 | on children whose primary need is a social or |
| 5 | emotional disability (in addition to any learning |
| 6 | disability). |
| 7 | (2) ELIGIBLE CHILD.—The term "eligible |
| 8 | child" means a child who is at least 3 years old and |
| 9 | not more than 12 years old— |
| 10 | (A) whose primary need is a social or emo- |
| 11 | tional disability (in addition to any learning dis- |
| 12 | ability); and |
| 13 | (B) who could benefit from early childhood |
| 14 | intervention and specialized preschool or ele- |
| 15 | mentary school programs with the goal of inter- |
| 16 | vening or treating social or emotional disabil- |
| 17 | ities. |
| 18 | (c) APPLICATION.—An eligible entity seeking a grant |
| 19 | under subsection (a) shall submit to the Secretary an ap- |
| 20 | plication at such time, in such manner, and containing |
| 21 | such information as the Secretary may require. |
| 22 | (d) Use of Funds for Early Intervention and |
| 23 | TREATMENT PROGRAMS.—An eligible entity shall use |
| 24 | amounts awarded under a grant under subsection $(a)(1)$ |
| 25 | to carry out the following activities: |

| 1 | (1) Deliver (or facilitate) for eligible children |
|----|---|
| 2 | mental health treatment and education, early child- |
| 3 | hood education and intervention, and specialized pre- |
| 4 | school and elementary school programs, including |
| 5 | the provision of day treatment and social-emotional |
| 6 | and behavioral services. |
| 7 | (2) Treat and educate eligible children, includ- |
| 8 | ing by providing funding for— |
| 9 | (A) program start-up, curricula develop- |
| 10 | ment, and operating and capital needs; |
| 11 | (B) staff and equipment; |
| 12 | (C) assessment, intervention, and treat- |
| 13 | ment services; |
| 14 | (D) administrative costs; |
| 15 | (E) enrollment costs; |
| 16 | (F) collaboration with primary care physi- |
| 17 | cians, psychiatrists, and clinical services of psy- |
| 18 | chologists of other related mental health spe- |
| 19 | cialists; |
| 20 | (G) services to meet emergency needs of |
| 21 | children; and |
| 22 | (H) communication with families and phys- |
| 23 | ical and mental health professionals concerning |
| 24 | the children. |

1 (3) Develop and implement other strategies to 2 address identified intervention, treatment, and edu-3 cational needs of eligible children that incorporate 4 reliable and valid evaluation modalities into the pro-5 gram to ensure outcomes based on sound scientific 6 metrics as determined by the NMHPL. 7 (e) Amount of Awards.—The amount of an award 8 to an eligible entity under subsection (a)(1) shall be not 9 more than \$600,000 per fiscal year. 10 (f) Project Terms.—The period of a grant for 11 awards under subsection (a)(1), shall be not less than 3 12 fiscal years and not more than 10 fiscal years. 13 MATCHING FUNDS.—The Director of 14 NMHPL may not award a grant under this section to an 15 eligible entity unless the eligible entity agrees, with respect to the costs to be incurred by the eligible entity in carrying 16 17 out the activities described in subsection (d), to make available non-Federal contributions (in cash or in kind) 18 19 toward such costs in an amount that is not less than 10 percent of Federal funds provided in the grant. 21 (h) AUTHORIZATION OF APPROPRIATIONS.—To carry 22 out this section, there are authorized to be appropriated

\$10,000,000 for each of fiscal years 2017 through 2021.

23

| 1 | SEC. 205. EXTENSION OF ASSISTED OUTPATIENT TREAT- |
|----|---|
| 2 | MENT GRANT PROGRAM FOR INDIVIDUALS |
| 3 | WITH SERIOUS MENTAL ILLNESS. |
| 4 | Section 224 of the Protecting Access to Medicare Act |
| 5 | of 2014 (42 U.S.C. 290aa note) is amended— |
| 6 | (1) in subsection (a), by striking "4-year" and |
| 7 | inserting "6-year"; |
| 8 | (2) in subsection (e), by striking "and 2018" |
| 9 | and inserting "2018, 2019, and 2020"; and |
| 10 | (3) in subsection (g)— |
| 11 | (A) in paragraph (1), by striking "2018" |
| 12 | and inserting "2020"; and |
| 13 | (B) in paragraph (2) by striking "2018" |
| 14 | and inserting "2020". |
| 15 | SEC. 206. BLOCK GRANTS. |
| 16 | (a) Reauthorization of Block Grant.—Section |
| 17 | 1920(a) of the Public Health Service Act (42 U.S.C. 300x- |
| 18 | 9(a)) is amended by striking "\$450,000,000 for fiscal year |
| 19 | 2001, and such sums as may be necessary for each of the |
| 20 | fiscal years 2002 and 2003" and inserting "\$483,000,000 |
| 21 | for fiscal year 2017 and such sums as may be necessary |
| 22 | for each of fiscal years 2018 through 2019". |
| 23 | (b) Best Practices in Clinical Care Models.— |
| 24 | Section 1920 of the Public Health Service Act (42 U.S.C. |
| 25 | 300x-9) is amended by adding at the end the following: |
| | |

| 1 | "(c) Best Practices in Clinical Care Mod- |
|----|--|
| 2 | ELS.—The Secretary, acting through the Director of the |
| 3 | National Institute of Mental Health, shall obligate 5 per- |
| 4 | cent of the amounts appropriated for a fiscal year under |
| 5 | subsection (a) for translating evidence-based (as defined |
| 6 | in section 2 of the [Act of 2015]) interventions |
| 7 | and best available science into systems of care, such as |
| 8 | through models including the Recovery After an Initial |
| 9 | Schizophrenia Episode research project of the National In- |
| 10 | stitute of Mental Health.". |
| 11 | (c) Additional Program Requirements.— |
| 12 | (1) Integrated services.—Subsection (b)(1) |
| 13 | of section 1912 of the Public Health Service Act (42 |
| 14 | U.S.C. 300x-1(b)(1)) is amended— |
| 15 | (A) by striking "The plan provides" and |
| 16 | inserting the following: |
| 17 | "(A) IN GENERAL.—The plan provides"; |
| 18 | (B) in the second sentence, by striking |
| 19 | "health and mental health services" and insert- |
| 20 | ing "integrated physical and mental health |
| 21 | services"; |
| 22 | (C) by striking "The plan shall include" |
| 23 | and all that follows through the period at the |
| 24 | end and inserting "The plan shall integrate and |
| 25 | coordinate services to maximize the efficiency, |

| 1 | effectiveness, quality, coordination, and cost ef- |
|----|--|
| 2 | fectiveness of those services and programs to |
| 3 | produce the best possible outcomes for individ- |
| 4 | uals with serious mental illness."; and |
| 5 | (D) by adding at the end the following new |
| 6 | subparagraph: |
| 7 | "(B) Additional requirements.—The |
| 8 | plan shall include a separate description of case |
| 9 | management services and provide for activities |
| 10 | leading to reduction of rates of suicides, suicide |
| 11 | attempts, substance abuse, overdose deaths, |
| 12 | emergency hospitalizations, incarceration, |
| 13 | crimes, arrest, victimization, homelessness, job- |
| 14 | lessness, medication nonadherence, and edu- |
| 15 | cation and vocational programs drop outs. The |
| 16 | plan shall include a detailed list of services |
| 17 | available for eligible patients in each county or |
| 18 | county equivalent, including assisted outpatient |
| 19 | treatment.". |
| 20 | (2) Data collection system.— |
| 21 | (A) Subsection (b)(1)(A) (as so designated |
| 22 | by paragraph (1)) of section 1912 of the Public |
| 23 | Health Service Act (42 U.S.C. 300x- |
| 24 | 1(b)(1)(A)) is amended by inserting "legal serv- |
| 25 | ices, and" before "other support services". |

| 1 | (B) Subsection $(b)(2)$ of section 1912 of |
|----|--|
| 2 | the Public Health Service Act (42 U.S.C. 300x- |
| 3 | 1(b)(2)) is amended by inserting "and outcome |
| 4 | measures for services and resources" before the |
| 5 | period. |
| 6 | (3) Implementation of Plan.—Subsection |
| 7 | (d) of section 1912 of the Public Health Service Act |
| 8 | (42 U.S.C. 300x-1(d)) is amended— |
| 9 | (A) in paragraph (1)— |
| 10 | (i) by striking "Except as provided" |
| 11 | and inserting the following: |
| 12 | "(A) In general.—Except as provided"; |
| 13 | and |
| 14 | (ii) by adding at the end the following |
| 15 | new subparagraph: |
| 16 | "(B) DE-IDENTIFIED REPORTS.—For eligi- |
| 17 | ble patients receiving treatment through funds |
| 18 | awarded under a grant under section 1911, a |
| 19 | State shall include in the State plan for the |
| 20 | first year beginning after the date of the enact- |
| 21 | ment of the Act of |
| 22 | and each subsequent year, a de-identified re- |
| 23 | port, containing information that is open source |
| 24 | and de-identified, on the outcomes measures |
| 25 | collected in subsection (b)(2) of section 1912 of |

| 1 | the Public Health Service Act and the overall |
|----|--|
| 2 | cost of such treatment provided.". |
| 3 | [(4) Incentives for state-based outcome |
| 4 | MEASURES.—To be supplied.] |
| 5 | (5) EVIDENCE-BASED SERVICES DELIVERY |
| 6 | MODELS.—Section 1912 of the Public Health Serv- |
| 7 | ice Act (42 U.S.C. 300x-1) is amended by adding at |
| 8 | the end the following new subsection: |
| 9 | "(e) Expansion of Models.— |
| 10 | "(1) IN GENERAL.—Taking into account the re- |
| 11 | sults of evaluations under section 201(a)(2)(C) of |
| 12 | the [Act of 2015], the Assistant Secretary |
| 13 | may, by rule, as part of the program of block grants |
| 14 | under this subpart, provide for expanded use across |
| 15 | the Nation of evidence-based service delivery models |
| 16 | by providers funded under such block grants, so long |
| 17 | as— |
| 18 | "(A) the Assistant Secretary for Mental |
| 19 | Health and Substance Use Disorders (in this |
| 20 | subsection referred to as the 'Assistant Sec- |
| 21 | retary') determines that such expansion will— |
| 22 | "(i) result in more effective use of |
| 23 | funds under such block grants without re- |
| 24 | ducing the quality of care; or |

| 1 | "(ii) improve the quality of patient |
|----|---|
| 2 | care without significantly increasing spend- |
| 3 | ing; |
| 4 | "(B) the Director of the National Institute |
| 5 | of Mental Health determines that such expan- |
| 6 | sion would improve the quality of patient care; |
| 7 | and |
| 8 | "(C) the Assistant Secretary determines |
| 9 | that the change will— |
| 10 | "(i) significantly reduce severity and |
| 11 | duration of symptoms of mental illness; |
| 12 | "(ii) reduce rates of suicide, suicide |
| 13 | attempts, substance abuse, overdose, emer- |
| 14 | gency hospitalizations, emergency room |
| 15 | boarding, incarceration, crime, arrest, vic- |
| 16 | timization, homelessness, or joblessness; or |
| 17 | "(iii) significantly improve the quality |
| 18 | of patient care and mental health crisis |
| 19 | outcomes without significantly increasing |
| 20 | spending. |
| 21 | "(2) Definition.—In this subsection, the term |
| 22 | 'emergency room boarding' means the practice of ad- |
| 23 | mitting patients to an emergency department and |
| 24 | holding such patients in the department until inpa- |
| 25 | tient psychiatric beds become available.". |

- 1 (d) Period for Expenditure of Grant Funds.—
- 2 Section 1913 of the Public Health Service Act (42 U.S.C.
- 3 300x-2) is amended by adding at the end the following:
- 4 "(d) Period for Expenditure of Grant
- 5 Funds.—In implementing a plan submitted under section
- 6 1912(a), a State receiving a grant under section 1911 may
- 7 make such funds available to providers of services de-
- 8 scribed in subsection (b) for the provision of services with-
- 9 out fiscal year limitation.".
- 10 (e) ACTIVE OUTREACH AND ENGAGEMENT.—Section
- 11 1915 of the Public Health Service Act (42 U.S.C. 300x-
- 12 4) is amended by adding at the end of the following:
- 13 "(c) Active Outreach and Engagement to Per-
- 14 SONS WITH SERIOUS MENTAL ILLNESS.—
- 15 "(1) IN GENERAL.—A funding agreement for a
- grant under section 1911 is that the State involved
- has in effect active programs that seek to engage in-
- dividuals with serious mental illness in comprehen-
- sive services in order to avert relapse, repeated hos-
- 20 pitalizations, arrest, incarceration, suicide, and to
- 21 provide the patient with the opportunity to live in
- 22 the least restrictive setting, through a comprehensive
- program of evidence-based and culturally relevant
- 24 assertive outreach and engagement services focusing
- on individuals who are homeless, have co-occurring

| 1 | disorders, are at risk for incarceration or re-incar- |
|----|---|
| 2 | ceration, or have a history of treatment failure, in- |
| 3 | cluding repeated hospitalizations or emergency room |
| 4 | usage. |
| 5 | "(2) EVIDENCE-BASED ASSERTIVE OUTREACH |
| 6 | AND ENGAGEMENT SERVICES.— |
| 7 | "(A) SAMHSA.—The Administrator of |
| 8 | the Substance Abuse and Mental Health Serv- |
| 9 | ices Administration, in cooperation with the Di- |
| 10 | rector of the National Institute of Mental |
| 11 | Health, shall develop— |
| 12 | "(i) a list of evidence-based culturally |
| 13 | and linguistically relevant assertive out- |
| 14 | reach and engagement services; and |
| 15 | "(ii) criteria to be used to assess the |
| 16 | scope and effectiveness of the approaches |
| 17 | taken by such services, such as the ability |
| 18 | to provide same-day appointments for |
| 19 | emergent situations. |
| 20 | "(B) Types of assertive outreach |
| 21 | AND ENGAGEMENT SERVICES.—For purposes of |
| 22 | paragraph (1), appropriate programs of evi- |
| 23 | dence-based assertive outreach and engagement |
| 24 | services may include peer support programs; |
| 25 | the Wellness Recovery Action Plan, Assertive |

1 Community Treatment, and Forensic Assertive 2 Community Treatment of the Substance Abuse 3 and Mental Health Services Administration; ap-4 propriate supportive housing programs incor-5 porating a Housing First model; and intensive, 6 evidence-based approaches to early intervention 7 in psychosis, such as the Recovery After an Ini-8 tial Schizophrenia Episode model of the Na-9 tional Institute of Mental Health and the Spe-10 cialized Treatment Early in Psychosis program. 11 PSYCHIATRIC DIRECTIVES.—A ADVANCED 12 funding agreement for a grant under section 1911 is that 13 the State involved has in effect active programs that seek to engage individuals with serious mental illness in 14 proactively making their own health care decisions and enhancing communication between themselves, their fami-16 lies, and their treatment providers by allowing for early intervention and reducing legal proceedings related to in-18 voluntary treatment by developing psychiatric advanced 19 20 directives through a comprehensive program— 21 "(1) of assertive outreach and engagement serv-22 ices focusing on individuals diagnosed with serious 23 mental illness or self-identifying as in recovery from 24 serious mental illness to obtain a psychiatric ad-25 vanced directive; or

| 1 | "(2) to support States in providing accessible | |
|----|---|--|
| 2 | legal counsel to individuals diagnosed with serious | |
| 3 | mental illness.". | |
| 4 | SEC. 207. TELEHEALTH CHILD PSYCHIATRY ACCESS | |
| 5 | GRANTS. | |
| 6 | (a) In General.—The Secretary, acting through the | |
| 7 | Administrator of the Health Resources and Services Ad- | |
| 8 | ministration, shall award grants to States, Indian tribes, | |
| 9 | and tribal organizations to promote behavioral health inte- | |
| 10 | gration in pediatric primary care by— | |
| 11 | (1) supporting the creation of statewide child | |
| 12 | psychiatry access programs; and | |
| 13 | (2) supporting the expansion of existing state- | |
| 14 | wide or regional child psychiatry access programs. | |
| 15 | (b) Program Requirements.— | |
| 16 | (1) In general.—To be eligible for funding | |
| 17 | under subsection (a), a child psychiatry access pro- | |
| 18 | gram shall— | |
| 19 | (A) be a statewide network of pediatric | |
| 20 | mental health teams that provide support to pe- | |
| 21 | diatric primary care sites as an integrated | |
| 22 | team; | |
| 23 | (B) support and further develop organized | |
| 24 | State networks of child and adolescent psychia- | |
| | | |

| 1 | trists to provide consultative support to pedi- |
|----|--|
| 2 | atric primary care sites; |
| 3 | (C) conduct an assessment of critical be- |
| 4 | havioral consultation needs among pediatric |
| 5 | providers and such providers' preferred mecha- |
| 6 | nisms for receiving consultation and training |
| 7 | and technical assistance; |
| 8 | (D) develop an online database and com- |
| 9 | munication mechanisms, including telehealth, to |
| 10 | facilitate consultation support to pediatric prac- |
| 11 | tices; |
| 12 | (E) provide rapid (within 30 minutes) |
| 13 | statewide clinical telephone consultations when |
| 14 | requested between the pediatric mental health |
| 15 | teams and pediatric primary care providers; |
| 16 | (F) conduct training and provide technical |
| 17 | assistance to pediatric primary care providers to |
| 18 | support the early identification, diagnosis |
| 19 | treatment, and referral of children with behav- |
| 20 | ioral health conditions; |
| 21 | (G) inform and assist pediatric providers |
| 22 | in accessing child psychiatry consultations and |
| 23 | in scheduling and conducting technical assist |
| 24 | ance; |

| 1 | (H) assist with referrals to specialty care |
|----|--|
| 2 | and community and behavioral health resources |
| 3 | and |
| 4 | (I) establish mechanisms for measuring |
| 5 | and monitoring increased access to child and |
| 6 | adolescent psychiatric services by pediatric pri- |
| 7 | mary care providers and expanded capacity of |
| 8 | pediatric primary care providers to identify |
| 9 | treat, and refer children with mental health |
| 10 | problems. |
| 11 | (2) Pediatric mental health teams.—For |
| 12 | the purposes of this subsection, the term "pediatric |
| 13 | mental health team" means a team of case coordina- |
| 14 | tors, child and adolescent psychiatrists, and a li- |
| 15 | censed clinical mental health professional, such as a |
| 16 | psychologist, social worker, or mental health coun- |
| 17 | selor. Such a team may be regionally-based, provided |
| 18 | there is access to a pediatric mental health team |
| 19 | across the State. |
| 20 | (c) Application.—A State, political subdivision of |
| 21 | a State, Indian tribe, or tribal organization that desires |
| 22 | a grant under this section shall submit an application to |
| 23 | the Secretary at such time, in such manner, and con- |
| 24 | taining such information as the Secretary may require, in- |

1 cluding a plan for the rigorous evaluation of activities that

- 2 are carried out with funds received under such grant.
- 3 (d) EVALUATION.—A State, political subdivision of a
- 4 State, Indian tribe, or tribal organization that receives a
- 5 grant under this section shall prepare and submit an eval-
- 6 uation to the Secretary at such time, in such manner, and
- 7 containing such information as the Secretary may reason-
- 8 ably require, including an evaluation of activities carried
- 9 out with funds received under such grant and a process
- 10 and outcome evaluation.
- 11 (e) MATCHING REQUIREMENT.—The Secretary may
- 12 not award a grant under the grant program unless the
- 13 State involved agrees, with respect to the costs to be in-
- 14 curred by the State in carrying out the purpose described
- 15 in this section, to make available non-Federal contribu-
- 16 tions (in eash or in kind) toward such costs in an amount
- 17 that is not less than 20 percent of Federal funds provided
- 18 in the grant.
- 19 (f) Authorization of Appropriations.—To carry
- 20 out this section, there are authorized to be appropriated
- 21 \$25,000,000 for fiscal year 2017 and such sums as may
- 22 be necessary for each of fiscal years 2018 through 2021.

| 1 | SEC. 208. LIABILITY PROTECTIONS FOR HEALTH CARE |
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| 2 | PROFESSIONAL VOLUNTEERS AT COMMU- |
| 3 | NITY HEALTH CENTERS AND COMMUNITY |
| 4 | MENTAL HEALTH CENTERS. |
| 5 | Section 224 of the Public Health Service Act (42 |
| 6 | U.S.C. 233) is amended by adding at the end the fol- |
| 7 | lowing: |
| 8 | "(q)(1) In this subsection, the term 'community men- |
| 9 | tal health center' means— |
| 10 | "(A) a community mental health center, as de- |
| 11 | fined in section 1861(ff) of the Social Security Act; |
| 12 | or |
| 13 | "(B) a community mental health center meeting |
| 14 | the criteria specified in section 1913(c). |
| 15 | "(2) For purposes of this section, a health care pro- |
| 16 | fessional volunteer at an entity described in subsection |
| 17 | (g)(4) or a community mental health center shall, in pro- |
| 18 | viding health care services eligible for funding under sec- |
| 19 | tion 330 or subpart I of part B of title XIX to an indi- |
| 20 | vidual, be deemed to be an employee of the Public Health |
| 21 | Service for a calendar year that begins during a fiscal year |
| 22 | for which a transfer was made under paragraph (5)(C). |
| 23 | The preceding sentence is subject to the provisions of this |
| 24 | subsection. |
| 25 | "(3) In providing a health care service to an indi- |
| 26 | vidual, a health care professional shall, for purposes of this |

- 1 subsection be considered to be a health professional volun-
- 2 teer at an entity described in subsection (g)(4) or at a
- 3 community mental health center if the following conditions
- 4 are met:

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- "(A) The service is provided to the individual at the facilities of an entity described in subsection (g)(4), at a federally qualified community behavioral health clinic, or through offsite programs or events carried out by the center.
 - "(B) The center or entity is sponsoring the health care professional volunteer pursuant to paragraph (4)(B).
 - "(C) The health care professional does not receive any compensation for the service from the individual or from any third-party payer (including reimbursement under any insurance policy or health plan, or under any Federal or State health benefits program), except that the health care professional may receive repayment from the entity described in subsection (g)(4) or the center for reasonable expenses incurred by the health care professional in the provision of the service to the individual.
 - "(D) Before the service is provided, the health care professional or the center or entity described in subsection (g)(4) posts a clear and conspicuous no-

| tice at the site where the service is provided of the |
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| extent to which the legal liability of the health care |
| professional is limited pursuant to this subsection. |
| "(E) At the time the service is provided, the |
| health care professional is licensed or certified in ac- |
| cordance with applicable law regarding the provision |
| of the service. |
| "(4) Subsection (g) (other than paragraphs (3) and |
| (5)) and subsections (h), (i), and (l) apply to a health care |
| professional for purposes of this subsection to the same |
| extent and in the same manner as such subsections apply |
| to an officer, governing board member, employee, or con- |
| tractor of an entity described in subsection (g)(4), subject |
| to paragraph (5) and subject to the following: |
| "(A) The first sentence of paragraph (2) ap- |
| plies in lieu of the first sentence of subsection |
| (g)(1)(A). |
| "(B) With respect to an entity described in sub- |
| section (g)(4) or a federally qualified community be- |
| havioral health clinic, a health care professional is |
| not a health professional volunteer at such center |
| unless the center sponsors the health care profes- |
| sional. For purposes of this subsection, the center |
| shall be considered to be sponsoring the health care |
| professional if— |
| |

| 1 | (1) with respect to the health care profes- |
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| 2 | sional, the center submits to the Secretary and |
| 3 | application meeting the requirements of sub- |
| 4 | section $(g)(1)(D)$; and |
| 5 | "(ii) the Secretary, pursuant to subsection |
| 6 | (g)(1)(E), determines that the health care pro- |
| 7 | fessional is deemed to be an employee of the |
| 8 | Public Health Service. |
| 9 | "(C) In the case of a health care professional |
| 10 | who is determined by the Secretary pursuant to sub- |
| 11 | section $(g)(1)(E)$ to be a health professional volun- |
| 12 | teer at such center, this subsection applies to the |
| 13 | health care professional (with respect to services de- |
| 14 | scribed in paragraph (2)) for any cause of action |
| 15 | arising from an act or omission of the health care |
| 16 | professional occurring on or after the date on which |
| 17 | the Secretary makes such determination. |
| 18 | "(D) Subsection (g)(1)(F) applies to a health |
| 19 | professional volunteer for purposes of this subsection |
| 20 | only to the extent that, in providing health services |
| 21 | to an individual, each of the conditions specified in |
| 22 | paragraph (3) is met. |
| 23 | "(5)(A) Amounts in the fund established under sub- |
| 24 | section (k)(2) shall be available for transfer under sub- |
| 25 | paragraph (C) for purposes of carrying out this subsection |
| | |

1 for health professional volunteers at entities described in

- 2 subsection (g)(4).
- 3 "(B) Not later than May 1 of each fiscal year, the
- 4 Attorney General, in consultation with the Secretary, shall
- 5 submit to Congress a report providing an estimate of the
- 6 amount of claims (together with related fees and expenses
- 7 of witnesses) that, by reason of the acts or omissions of
- 8 health care professional volunteers, will be paid pursuant
- 9 to this subsection during the calendar year that begins in
- 10 the following fiscal year. Subsection (k)(1)(B) applies to
- 11 the estimate under the preceding sentence regarding
- 12 health care professional volunteers to the same extent and
- 13 in the same manner as such subsection applies to the esti-
- 14 mate under such subsection regarding officers, governing
- 15 board members, employees, and contractors of entities de-
- 16 scribed in subsection (g)(4).
- 17 "(C) Not later than December 31 of each fiscal year,
- 18 the Secretary shall transfer from the fund under sub-
- 19 section (k)(2) to the appropriate accounts in the Treasury
- 20 an amount equal to the estimate made under subpara-
- 21 graph (B) for the calendar year beginning in such fiscal
- 22 year, subject to the extent of amounts in the fund.
- "(6)(A) This subsection takes effect on October 1,
- 24 2017, except as provided in subparagraph (B).

| 1 | "(B) Effective on the date of the enactment of this |
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| 2 | subsection— |
| 3 | "(i) the Secretary may issue regulations for car- |
| 4 | rying out this subsection, and the Secretary may ac- |
| 5 | cept and consider applications submitted pursuant to |
| 6 | paragraph (4)(B); and |
| 7 | "(ii) reports under paragraph (5)(B) may be |
| 8 | submitted to Congress.". |
| 9 | SEC. 209. MINORITY FELLOWSHIP PROGRAM. |
| 10 | Title V of the Public Health Service Act (42 U.S.C. |
| 11 | 290aa et seq.) is amended— |
| 12 | (1) by redesignating part G (42 U.S.C. 290kk |
| 13 | et seq.), relating to services provided through reli- |
| 14 | gious organizations and added by section 144 of the |
| 15 | Community Renewal Tax Relief Act of 2000, as en- |
| 16 | acted into law by section 1(a)(7) of Public Law 106- |
| 17 | 554, as part J; |
| 18 | (2) by redesignating sections 581 through 584 |
| 19 | of part J, as so redesignated, as sections 596 |
| 20 | through 596C, respectively; and |
| 21 | (3) by adding at the end the following: |
| 22 | "PART K—MINORITY FELLOWSHIP PROGRAM |
| 23 | "SEC. 597. FELLOWSHIPS. |
| 24 | "(a) In General.—The Secretary shall maintain a |
| 25 | program, to be known as the Minority Fellowship Pro- |

- 1 gram, under which the Secretary awards fellowships,
- 2 which may include stipends, for the purposes of—
- 3 "(1) increasing behavioral health practitioners'
- 4 knowledge of issues related to prevention, treatment,
- 5 and recovery support for mental and substance use
- 6 disorders among racial and ethnic minority popu-
- 7 lations;
- 8 "(2) improving the quality of mental and sub-
- 9 stance use disorder prevention and treatment deliv-
- 10 ered to ethnic minorities; and
- 11 "(3) increasing the number of culturally com-
- petent behavioral health professionals who teach, ad-
- minister, conduct services research, and provide di-
- rect mental health or substance use services to un-
- derserved minority populations.
- 16 "(b) Training Covered.—The fellowships under
- 17 subsection (a) shall be for postbaccalaureate training (in-
- 18 cluding for master's and doctoral degrees) for mental
- 19 health professionals, including in the fields of psychiatry,
- 20 nursing, social work, psychology, marriage and family
- 21 therapy, and substance use and addiction counseling.
- 22 "(c) Authorization of Appropriations.—To
- 23 carry out this section, there are authorized to be appro-
- 24 priated \$6,000,000 for each of fiscal years 2017 through
- 25 2021.".

1 SEC. 210. NATIONAL HEALTH SERVICE CORPS.

| 2 | (a) Definitions.— |
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- 3 (1) Primary Health Services.—Section
- 4 331(a)(3)(D) of the Public Health Service Act (42
- 5 U.S.C. 254d(a)(3)(D)) is amended by inserting "(in-
- 6 cluding pediatric mental health subspecialty serv-
- 7 ices)" after "pediatrics".
- 8 (2) Behavioral and mental health pro-
- 9 FESSIONALS.—Clause (i) of section 331(a)(3)(E)(i)
- of the Public Health Service Act (42 U.S.C.
- 11 254d(a)(3)(E)(i) is amended by inserting ", includ-
- ing such professionals who are pediatric subspecial-
- ists" before the period at the end.
- 14 (3) Health professional shortage
- AREA.—Section 332(a)(1) of the Public Health Serv-
- ice Act (42 U.S.C. 254e(a)(1)) is amended by insert-
- ing "(which may be a group comprised of children
- and adolescents)" after "population group".
- 19 (4) MEDICAL FACILITY.—Section 332(a)(2)(A)
- of the Public Health Service Act (42 U.S.C.
- 21 254e(a)(2)(A)) is amended by inserting "medical
- residency or fellowship training site for training in
- child and adolescent psychiatry," before "facility op-
- erated by a city or county health department,".
- 25 (b) Eligibility to Participate in Loan Repay-
- 26 Ment Program.—Section 338B(b)(1)(B) of the Public

- 1 Health Service Act (42 U.S.C. 254l–1(b)(1)(B)) is amend-
- 2 ed by inserting ", including any child and adolescent psy-
- 3 chiatry medical residency or fellowship training program"
- 4 before the semicolon.
- 5 SEC. 211. REAUTHORIZATION OF MENTAL AND BEHAV-
- 6 IORAL HEALTH EDUCATION TRAINING
- 7 GRANT.
- 8 Section 756 of the Public Health Service Act (42)
- 9 U.S.C. 294e–1) is amended to read as follows:
- 10 "SEC. 756. MENTAL AND BEHAVIORAL HEALTH EDUCATION
- 11 AND TRAINING GRANTS.
- 12 "(a) Grants Authorized.—The Secretary, acting
- 13 through the Administrators of the Substance Abuse and
- 14 Mental Health Administration and the Health Resources
- 15 and Services Administration, may award grants to eligible
- 16 institutions to support the recruitment of students for,
- 17 and education and clinical experience of the students in—
- 18 "(1) accredited institutions of higher education
- or accredited professional training programs that are
- establishing or expanding internships or other field
- 21 placement programs in mental health in psychiatry,
- 22 psychology, school psychology, behavioral pediatrics,
- 23 psychiatric nursing, social work, school social work,
- substance abuse prevention and treatment, marriage
- and family therapy, school counseling, or profes-

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sional counseling, with a preference for programs addressing child and adolescent mental health, in particular transitional age youth between 16 to 25 years old;

"(2) accredited master's, doctoral, internship, and post-doctoral residency programs of psychology for the development and implementation of inter-disciplinary training of psychology graduate students for providing behavioral and mental health services, including substance abuse prevention and treatment services, as well as the development of faculty in psychology;

"(3) accredited master's and doctoral degree programs of social work for the development and implementation of interdisciplinary training of social work graduate students for providing behavioral and mental health services, including substance abuse prevention and treatment services, and the development of faculty in social work; or

"(4) paraprofessional certificate training programs offered by accredited community and technical colleges granting State licensure or certification in a behavioral health-related paraprofessional field, such as community health worker, outreach worker, social services aide, mental health worker,

| 1 | substance abuse or addictions worker, youth worker, |
|----|--|
| 2 | promotora, or peer paraprofessional, with preference |
| 3 | for pre-service or in-service training of paraprofes- |
| 4 | sional child and adolescent mental health workers. |
| 5 | "(b) ELIGIBILITY REQUIREMENTS.—To be eligible to |
| 6 | receive a grant under this section, an institution shall |
| 7 | demonstrate— |
| 8 | "(1) an ability to recruit and place psycholo- |
| 9 | gists, social workers, and paraprofessionals in areas |
| 10 | with a high need and high demand population; and |
| 11 | "(2) participation of individuals and groups |
| 12 | from different racial, ethnic, cultural, geographic, re- |
| 13 | ligious, linguistic, and class backgrounds, and dif- |
| 14 | ferent genders and orientations in the institution's |
| 15 | programs; |
| 16 | "(3) knowledge and understanding of the con- |
| 17 | cerns of the individuals and groups described in |
| 18 | paragraph (2), notably individuals with mental |
| 19 | health symptoms or diagnoses, particularly children |
| 20 | and adolescents, with a special emphasis on transi- |
| 21 | tional-aged persons 16 to 25 years old; |
| 22 | "(4) prioritization of cultural and linguistic |
| 23 | competency in training professionals and paraprofes- |
| 24 | sionals in any academic program, field placement, |
| 25 | internship, or post-doctoral position; and |

| 1 | "(5) the willingness to provide to the Secretary |
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| 2 | such data, assurances, and information as the Sec- |
| 3 | retary may require. |
| 4 | "(c) Priority.—In selecting grant recipients the |
| 5 | Secretary shall give priority to— |
| 6 | "(1) programs that have demonstrated the abil- |
| 7 | ity to train psychology and social work professionals |
| 8 | to work in integrated care settings; and |
| 9 | "(2) programs for paraprofessionals that offer |
| 10 | curriculum with an emphasis on the role of the fam- |
| 11 | ily and the lived experience of the consumer and |
| 12 | family-paraprofessional partnerships. |
| 13 | "(d) Institutional Requirement.—Of the grants |
| 14 | awarded under paragraphs (2) and (3) of subsection (a), |
| 15 | at least 4 of the grant recipients shall be historically black |
| 16 | colleges or other minority serving institutions. |
| 17 | "(e) Report to Congress.—Not later than 2 years |
| 18 | after the date of enactment of the Act of |
| 19 | , and annually thereafter, the Secretary, acting |
| 20 | through the Administrators of the Substance Abuse and |
| 21 | Mental Health Services Administration and the Health |
| 22 | Resources Services Administration, shall submit to Con- |
| 23 | gress a report on the effectiveness of— |
| 24 | "(1) providing graduate students support for |
| 25 | experiential training (internship or field placement); |

| 1 | "(2) recruitment of students interested in be- |
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| 2 | havioral health practice; |
| 3 | "(3) development and implementation of inter- |
| 4 | professional training and integration within primary |
| 5 | care; |
| 6 | "(4) development and implementation of ac- |
| 7 | credited field placements and internships; and |
| 8 | "(5) data collected on the number of students |
| 9 | trained in mental health and the number of available |
| 10 | accredited internships and field placements. |
| 11 | "(f) Authorization of Appropriations.—For |
| 12 | each of fiscal years 2017 through 2021, there are author- |
| 13 | ized to be appropriated to carry out this section |
| 14 | \$44,000,000, to be allocated as follows: |
| 15 | (1) \$15,000,000 shall be allocated to institu- |
| 16 | tions to expand mental health internships or other |
| 17 | field placement programs under subsection (a)(1). |
| 18 | (2) \$14,000,000 shall be allocated to training |
| 19 | in graduate psychology under subsection (a)(2). |
| 20 | (3) \$10,000,000 shall be allocated to training |
| 21 | in graduate social work under subsection (a)(3). |
| 22 | "(4) \$5,000,000 shall be allocated to training |
| 23 | paraprofessionals under subsection (a)(4).". |

| 1 | TITLE | TTT_ | -INTEGR | ATION |
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| 2 | SEC. 301. PRIMARY AND BEHAVIORAL HEALTH CARE INTE- |
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| 3 | GRATION GRANT PROGRAMS. |
| 4 | Section 520K of the Public Health Service Act (42 |
| 5 | U.S.C. 290bb-42) is amended to read as follows: |
| 6 | "SEC. 520K. INTEGRATION INCENTIVE GRANTS. |
| 7 | "(a) In General.—There is established within the |
| 8 | Substance Abuse and Mental Health Services Administra- |
| 9 | tion a primary and behavioral health care integration |
| 10 | grant program. The Secretary may award grants and co- |
| 11 | operative agreements to eligible entities to expend funds |
| 12 | for improvements in integrated settings with integrated |
| 13 | practices. |
| 14 | "(b) Definitions.—In this section: |
| 15 | "(1) Integrated care.—The term 'integrated |
| 16 | care' means full collaboration in merged or trans- |
| 17 | formed practices offering mental and physical health |
| 18 | services within the same shared practice space in the |
| 19 | same facility, where the entity— |
| 20 | "(A) provides services in a shared space |
| 21 | that ensures services will be available and ac- |
| 22 | cessible promptly and in a manner which pre- |
| 23 | serves human dignity and assures continuity of |
| 24 | care; |

| 1 | "(B) ensures communication among the |
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| 2 | health care team that is consistent and team- |
| 3 | based; |
| 4 | "(C) ensures shared decisionmaking be- |
| 5 | tween mental health and primary care pro- |
| 6 | viders; |
| 7 | "(D) provides evidence-based services in a |
| 8 | mode of service delivery appropriate for the tar- |
| 9 | get population; |
| 10 | "(E) employs staff who are multidisci- |
| 11 | plinary and culturally and linguistically com- |
| 12 | petent; |
| 13 | "(F) provides integrated services related to |
| 14 | screening, diagnosis, and treatment of mental |
| 15 | illness and co-occurring primary care conditions |
| 16 | and chronic diseases; and |
| 17 | "(G) provides targeted case management |
| 18 | including services to assist individuals gaining |
| 19 | access to needed medical, social, educational |
| 20 | and other services and applying for income se- |
| 21 | curity, housing, employment, and other benefits |
| 22 | to which they may be entitled. |
| 23 | "(2) Integrated care team.—The term in- |
| 24 | tegrated care team' means a team that includes— |

| 1 | "(A) allopathic or osteopathic medical doc- |
|----|--|
| 2 | tors, including a primary care physician and a |
| 3 | board certified psychiatrist; |
| 4 | "(B) licensed clinical mental health profes- |
| 5 | sionals, such as a psychologists or social work- |
| 6 | $\operatorname{ers};$ |
| 7 | "(C) a case manager; and |
| 8 | "(D) other members, which may include |
| 9 | psychiatric advanced practice nurses and other |
| 10 | allied health professionals, such as mental |
| 11 | health counselors, or others as appropriate. |
| 12 | "(3) Special population.—The term 'special |
| 13 | population' means— |
| 14 | "(A) adults with mental illnesses who have |
| 15 | co-occurring primary care conditions with |
| 16 | chronic diseases; |
| 17 | "(B) adults with serious mental illnesses |
| 18 | who have co-occurring primary care conditions |
| 19 | with chronic diseases; or |
| 20 | "(C) children and adolescents with serious |
| 21 | emotional disorders with co-occurring primary |
| 22 | care conditions and chronic diseases. |
| 23 | "(c) Purpose.—The grant program under this sec- |
| 24 | tion shall be designed to lead to full collaboration between |

primary and behavioral health in an integrated practice 2

model at a statewide level, to ensure that—

- 3 "(1) the overall wellness and physical health
- 4 status of individuals with serious mental illness and
- 5 co-occurring substance use disorders is supported
- 6 through integration of primary care into community
- 7 behavioral health centers; and
- 8 "(2) the mental health status of individuals
- 9 with significant co-occurring psychiatric and physical
- 10 conditions will be supported through integration of
- 11 behavioral health into primary care settings.
- 12 "(d) Eligible Entities.—To be eligible to receive
- a grant or cooperative agreement under this section, an
- 14 entity shall be a State department of health, State mental
- 15 health or addiction agency, or State Medicaid agency. The
- Administrator shall give preference to States that have ex-16
- 17 isting integrated care models, such as those authorized by
- 18 section 1945 of the Social Security Act.
- 19 "(e) APPLICATION.—An eligible entity desiring a
- 20 grant or cooperative agreement under this section shall
- 21 submit an application to the Administrator at such time,
- in such manner, and accompanied by such information as
- 23 the Administrator may require, including a description of
- a plan to achieve fully collaborative agreements to provide
- 25 services to special populations and—

1 "(1) a document that summarizes the State-2 specific policies that inhibit the provision of inte-3 grated care, and the specific steps that will be taken 4 to address such barriers, such as through licensing 5 and billing procedures; and 6 "(2) a plan to develop and share a de-identified 7 patient registry to track treatment implementation 8 and clinical outcomes to inform clinical interven-9 tions, patient education, and engagement with 10 merged or transformed integrated practices in com-11 pliance with applicable national and State health in-12 formation privacy laws. 13 "(f) Grant Amounts.—The maximum annual grant amount under this section shall be \$2,000,000, of which 14 not more than 10 percent may be allocated to State admin-15 istrative functions, and the remaining amounts shall be 16 allocated to health facilities that provide integrated care. 17 18 "(g) DURATION.—A grant under this section shall be 19 for a period of 5 years. 20 "(h) Report on Program Outcomes.—An entity 21 receiving a grant or cooperative agreement under this sec-22 tion shall submit an annual report to the Administrator 23 that includes— "(1) the progress to reduce barriers to inte-24 25 grated care, including regulatory and billing bar-

| 1 | riers, as described in the entity's application under |
|----|---|
| 2 | subsection (d); |
| 3 | "(2) a description of functional outcomes of |
| 4 | special populations, including— |
| 5 | "(A) with respect to individuals with seri- |
| 6 | ous mental illness, participation in supportive |
| 7 | housing or independent living programs, accept- |
| 8 | able attendance in social and rehabilitative pro- |
| 9 | grams, adequate participation in job training |
| 10 | opportunities, satisfactory performance in work |
| 11 | settings, attendance at scheduled medical and |
| 12 | mental health appointments, and compliance |
| 13 | with prescribed medication regimes; |
| 14 | "(B) with respect to individuals with co-oc- |
| 15 | curring mental illness and primary care condi- |
| 16 | tions and chronic diseases, attendance at sched- |
| 17 | uled medical and mental health appointments. |
| 18 | compliance with prescribed medication regimes. |
| 19 | and participation in learning opportunities re- |
| 20 | lated to improved health and lifestyle practice; |
| 21 | and |
| 22 | "(C) with respect to children and adoles- |
| 23 | cents with serious emotional disorders who have |
| 24 | co-occurring primary care conditions and chron- |
| 25 | ic diseases, attendance at scheduled medical |

| 1 | and mental health appointments, compliance |
|----|--|
| 2 | with prescribed medication regimes, participa- |
| 3 | tion in learning opportunities at school and ap- |
| 4 | propriate extracurricular activities. |
| 5 | "(i) Technical Assistance Center for Primary- |
| 6 | BEHAVIORAL HEALTH CARE INTEGRATION.— |
| 7 | "(1) In General.—The Secretary, acting |
| 8 | through the Administrator, shall establish a program |
| 9 | through which the Secretary shall provide appro- |
| 10 | priate information, training, and technical assistance |
| 11 | to eligible entities that receive a grant or cooperative |
| 12 | agreement under this section, in order to help such |
| 13 | entities to meet the requirements of this section, in- |
| 14 | cluding assistance with— |
| 15 | "(A) development and selection of inte- |
| 16 | grated care models; |
| 17 | "(B) dissemination of evidence-based inter- |
| 18 | ventions in integrated care; |
| 19 | "(C) establishment of organizational prac- |
| 20 | tices to support operational and administrative |
| 21 | success; and |
| 22 | "(D) other activities, as the Secretary de- |
| 23 | termines appropriate. |
| 24 | "(2) Additional dissemination of tech- |
| 25 | NICAL INFORMATION.—The information and re- |
| | |

1 sources provided by the technical assistance program 2 established under paragraph (1) shall be made avail-3 able to States, political subdivisions of a State, In-4 dian tribes or tribal organizations (as defined in sec-5 tion 4 of the Indian Self-Determination and Edu-6 cation Assistance Act), outpatient mental health and 7 treatment centers, community mental 8 health centers that meet the criteria under section 9 1913(c), certified community behavioral health clin-10 ics described in section 223 of the Protecting Access 11 to Medicare Act of 2014, primary care organizations 12 such as Federally qualified health centers or rural 13 health centers, other community-based organiza-14 tions, or other entities engaging in integrated care 15 activities, as the Secretary determines appropriate. 16 "(j) AUTHORIZATION OF APPROPRIATIONS.—To 17 carry out this section, there are authorized to be appro-18 priated \$50,000,000 for each of fiscal years 2017 through 19 2021, of which \$2,000,000 shall be available to the tech-

nical assistance program under subsection (i).".

| 1 | TITLE IV—INTERAGENCY SERI- |
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| 2 | OUS MENTAL ILLNESS CO- |
| 3 | ORDINATING COMMITTEE |
| 4 | SEC. 401. INTERAGENCY SERIOUS MENTAL ILLNESS CO- |
| 5 | ORDINATING COMMITTEE. |
| 6 | Title V of the Public Health Service Act is amended |
| 7 | by inserting after section 501 the following: |
| 8 | "SEC. 501A. INTERAGENCY SERIOUS MENTAL ILLNESS CO- |
| 9 | ORDINATING COMMITTEE. |
| 10 | "(a) Establishment.—The Assistant Secretary for |
| 11 | Mental Health and Substance Use Disorders (in this sec- |
| 12 | tion referred to as the 'Assistant Secretary') shall estab- |
| 13 | lish a committee, to be known as the Interagency Serious |
| 14 | Mental Illness Coordinating Committee (in this section re- |
| 15 | ferred to as the 'Committee'), to assist the Assistant Sec- |
| 16 | retary in carrying out the Assistant Secretary's duties. |
| 17 | "(b) Responsibilities.—The Committee shall— |
| 18 | "(1) develop and annually update a summary of |
| 19 | advances in serious mental illness research related to |
| 20 | causes, prevention, treatment, early screening, diag- |
| 21 | nosis or rule out, intervention, and access to services |
| 22 | and supports for individuals with serious mental ill- |
| 23 | ness; |
| 24 | "(2) monitor Federal programs and activities |
| 25 | with respect to serious mental illness; |

| 1 | "(3) make recommendations to the Assistant |
|----|---|
| 2 | Secretary regarding any appropriate changes to such |
| 3 | activities, including recommendations to the Director |
| 4 | of NIH with respect to the strategic plan developed |
| 5 | under paragraph (5); |
| 6 | "(4) make recommendations to the Assistant |
| 7 | Secretary regarding public participation in decisions |
| 8 | relating to serious mental illness; |
| 9 | "(5) develop and update every 3 years a stra- |
| 10 | tegic plan for the conduct and support of serious |
| 11 | mental illness, including— |
| 12 | "(A) a summary of the advances in serious |
| 13 | mental illness research developed in under para- |
| 14 | graph (1); |
| 15 | "(B) a list of the Federal programs and |
| 16 | activities relating to the prevention of, diag- |
| 17 | nosis, treatment, or rehabilitation for serious |
| 18 | mental illness identified in paragraph (2); |
| 19 | "(C) an analysis of the efficiency, effective- |
| 20 | ness, quality, coordination, and cost-effective- |
| 21 | ness of Federal programs and activities relating |
| 22 | to the prevention of, diagnosis, treatment, or |
| 23 | rehabilitation for serious mental illness, includ- |
| 24 | ing an accounting of the costs of such programs |
| 25 | and activities with administrative costs |

| 1 | disaggregated from the costs of services and |
|----|---|
| 2 | care; and |
| 3 | "(D) a plan with recommendations— |
| 4 | "(i) for the coordination and improve- |
| 5 | ment of Federal programs and activities |
| 6 | related to serious mental illness, including |
| 7 | budgetary requirements; |
| 8 | "(ii) for improving outcomes for indi- |
| 9 | viduals with a serious mental illness in- |
| 10 | cluding appropriate benchmarks to meas- |
| 11 | ure progress on achieving improvements; |
| 12 | "(iii) for the mental health workforce; |
| 13 | and |
| 14 | "(iv) to disseminate relevant informa- |
| 15 | tion developed by the coordinating com- |
| 16 | mittee to the public, health care providers, |
| 17 | social service providers, public health offi- |
| 18 | cials, courts, law enforcement, and other |
| 19 | relevant groups; and |
| 20 | "(6) submit to Congress such strategic plan |
| 21 | and any updates to such plan. |
| 22 | "(c) Membership.— |
| 23 | "(1) In general.—The Committee shall be |
| 24 | composed of not more than 9 Federal representa- |
| 25 | tives including— |

| 1 | (A) the Assistant Secretary for Mental |
|----|--|
| 2 | Health and Substance Use Disorders (or the |
| 3 | Assistant Secretary's designee), who shall serve |
| 4 | as the Chair of the Committee; |
| 5 | "(B) the Director of the National Institute |
| 6 | of Mental Health (or the Director's designee); |
| 7 | "(C) the Attorney General of the United |
| 8 | States (or the Attorney General's designee); |
| 9 | "(D) the Director of the Centers for Dis- |
| 10 | ease Control and Prevention (or the Director's |
| 11 | designee); |
| 12 | "(E) the Director of the National Insti- |
| 13 | tutes of Health (or the Director's designee); |
| 14 | "(F) a member of the United States Inter- |
| 15 | agency Council on Homelessness; |
| 16 | "(G) representatives, appointed by the As- |
| 17 | sistant Secretary, of Federal agencies that serve |
| 18 | individuals with serious mental illness, including |
| 19 | representatives of the Administration on Com- |
| 20 | munity Living, the Agency for Healthcare Re- |
| 21 | search and Quality, the Bureau of Indian Af- |
| 22 | fairs, the Department of Defense, the Depart- |
| 23 | ment of Education, the Department of Housing |
| 24 | and Urban Development, the Department of |

| 1 | Labor, the Department of Veterans Affairs, and |
|----|---|
| 2 | the Social Security Administration; and |
| 3 | "(H) the additional members appointed |
| 4 | under paragraph (2). |
| 5 | "(2) Additional members.—Not more than |
| 6 | 14 members of the Committee of the total member- |
| 7 | ship of the Committee, whichever is greater, shall be |
| 8 | composed of non-Federal public members to be ap- |
| 9 | pointed by the Assistant Secretary, of which— |
| 10 | "(A) at least 1 member shall be an indi- |
| 11 | vidual in recovery from a diagnosis of serious |
| 12 | mental illness who has benefitted from and is |
| 13 | receiving medical treatment under the care of a |
| 14 | licensed mental health professional; |
| 15 | "(B) at least 1 member shall be a parent |
| 16 | or legal guardian of an individual with a history |
| 17 | of serious mental illness who has either at- |
| 18 | tempted suicide or is incarcerated for violence |
| 19 | committed while experiencing a serious mental |
| 20 | illness; |
| 21 | "(C) at least 1 member shall be a rep- |
| 22 | resentative of a leading research, advocacy, and |
| 23 | service organization for individuals with serious |
| 24 | mental illness; |
| 25 | "(D) at least 2 members shall be— |

| 1 | "(i) a licensed psychiatrist with expe- |
|----|---|
| 2 | rience treating serious mental illness; |
| 3 | "(ii) a licensed psychologist with expe- |
| 4 | rience treating serious mental illness; |
| 5 | "(iii) a licensed clinical social worker; |
| 6 | or |
| 7 | "(iv) a licensed psychiatric nurse or |
| 8 | nurse practitioner; |
| 9 | "(E) at least 1 member shall be a mental |
| 10 | health professional with a significant focus in |
| 11 | his or her practice on working with children |
| 12 | and adolescents; |
| 13 | "(F) at least 1 member shall be a mental |
| 14 | health professional who has demonstrated cul- |
| 15 | tural competencies and has research or clinical |
| 16 | mental health experience working with minori- |
| 17 | ties; |
| 18 | "(G) at least 1 member shall be a State |
| 19 | certified mental health peer specialist; |
| 20 | "(H) at least 1 member shall be a judge |
| 21 | with experience adjudicating cases related to |
| 22 | criminal justice and serious mental illness; |
| 23 | "(I) at least 1 member shall be a law en- |
| 24 | forcement officer or corrections officer with ex- |
| 25 | tensive experience in interfacing with psy- |

| 1 | chiatric and psychological disorders or individ- |
|----|---|
| 2 | uals in mental health crisis; and |
| 3 | "(J) 4 members, of which— |
| 4 | "(i) 1 shall be appointed by the ma- |
| 5 | jority leader of the Senate; |
| 6 | "(ii) 1 shall be appointed by the mi- |
| 7 | nority leader of the Senate; |
| 8 | "(iii) 1 shall be appointed by the |
| 9 | Speaker of the House of Representatives; |
| 10 | and |
| 11 | "(iv) 1 shall be appointed by the mi- |
| 12 | nority leader of the House of Representa- |
| 13 | tives. |
| 14 | "(d) Reports to Congress.—Not later than 1 year |
| 15 | after the date of release of the first strategic plan under |
| 16 | subsection (b)(5) and annually thereafter, the Committee |
| 17 | shall submit a report to Congress— |
| 18 | "(1) evaluating the impact on public health of |
| 19 | projects addressing priority mental health needs of |
| 20 | regional and national significance under sections |
| 21 | 501, 509, 516, and 520A, including measurement of |
| 22 | public health outcomes such as— |
| 23 | "(A) reduced rates of suicide, suicide at- |
| 24 | tempts, substance abuse, overdose, overdose |
| 25 | deaths, emergency hospitalizations, emergency |

| 1 | room boarding (as defined in section 1912(e)), |
|----|---|
| 2 | incarceration, crime, arrest, victimization, |
| 3 | homelessness, and joblessness; |
| 4 | "(B) increased rates of employment and |
| 5 | enrollment in educational and vocational pro- |
| 6 | grams; and |
| 7 | "(C) such other criteria as may be deter- |
| 8 | mined by the Assistant Secretary; |
| 9 | "(2) formulating recommendations for the co- |
| 10 | ordination and improvement of Federal programs |
| 11 | and activities described in paragraph (2); |
| 12 | "(3) identifying any such programs and activi- |
| 13 | ties that are duplicative; and |
| 14 | "(4) summarizing all recommendations made, |
| 15 | activities carried out, and results achieved pursuant |
| 16 | to the workforce development strategy under [sec- |
| 17 | tion $101(c)(8)$ of the Act of |
| 18 |] . |
| 19 | "(e) Administrative Support; Terms of Serv- |
| 20 | ICE; OTHER PROVISIONS.—The following provisions shall |
| 21 | apply with respect to the Committee: |
| 22 | "(1) The Assistant Secretary shall provide such |
| 23 | administrative support to the Committee as may be |
| 24 | necessary for the Committee to carry out its respon- |
| 25 | sibilities. |

"(2) Members of the Committee appointed 1 2 under subsection (c)(2) shall serve for a term of 4 3 years, and may be reappointed for one or more addi-4 tional 4-year terms. Any member appointed to fill a 5 vacancy for an unexpired term shall be appointed for 6 the remainder of such term. A member may serve 7 after the expiration of the member's term until a 8 successor has taken office. 9 "(3) The Committee shall meet at the call of 10 the chair or upon the request of the Assistant Sec-11 retary. The Committee shall meet not fewer than 2 12 times each year. 13 "(4) All meetings of the Committee shall be 14 public and shall include appropriate time periods for 15 questions and presentations by the public. 16 "(f) Subcommittees; Establishment and Mem-BERSHIP.—In carrying out its functions, the Committee 17 18 may establish subcommittees and convene workshops and 19 conferences. Such subcommittees shall be composed of 20 Committee members and may hold such meetings as are 21 necessary to enable the subcommittees to carry out their 22 duties.".

TITLE V—HIPAA CLARIFICATION 23

- 24 SEC. 501. FINDINGS.
- 25 The Senate makes the following findings:

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(1) The privacy regulations promulgated under section 264(c) of the Health Insurance Portability and Accountability Act (42 U.S.C. 1320–2 note) recognizes the value of family members in the health and well-being of individuals experiencing temporary psychosis. However, a lack of understanding by health professionals has been a barrier to many family members assisting in the treatment of an individual with serious mental illness.

- (2) The privacy rule under section 164.510(b)(2) of title 45, Code of Federal Regulations allows for the disclosure of personal health information in the event that a covered entity receives the individual's agreement provides an opportunity for an individual to object, and the individual does not express an objection or the covered entity reasonably infers that the individual does not object.
- (3) The privacy rule under section 164.510(b)(3) of title 45, Code of Federal Regulations allows for the disclosure of personal health information if an individual is not present or is otherwise incapacitated if the medical provider determines that the disclosure is in the best interests of the individual.

| 1 | (4) Engagement by family members has been |
|----|---|
| 2 | shown to help individuals with serious mental illness |
| 3 | adhere to a treatment plan and generally improved |
| 4 | outcomes. |
| 5 | (5) Whenever possible, an individual who is the |
| 6 | subject of protected health or mental health informa- |
| 7 | tion shall be given advanced notice of the desire to |
| 8 | share information with family members or other |
| 9 | caregivers. This notice should include an explanation |
| 10 | of what information is to be shared and why it is |
| 11 | clinically desirable to share such information. |
| 12 | (6) The use of psychiatric advance directives |
| 13 | should be encouraged for individuals with serious |
| 14 | mental illness. |
| 15 | SEC. 502. MODIFICATIONS TO HIPAA. |
| 16 | In applying section 164.510(b)(3) of title 45, Code |
| 17 | of Federal Regulations, for the purposes of assisting |
| 18 | health professionals to determine the best interests of the |
| 19 | individual, factors shall include the following: |
| 20 | (1) Timely intervention for treatment of a seri- |
| 21 | ous mental or general medical illness. |
| 22 | (2) Safe and stable housing for the individual. |
| 23 | (3) Increased daily living skills that are likely to |
| 24 | allow the individual to live within the community. |
| | |

| 1 | (4) An increased capacity of caregivers to sup- |
|----|---|
| 2 | port the patient to live within the community. |
| 3 | SEC. 503. DEVELOPMENT AND DISSEMINATION OF MODEL |
| 4 | TRAINING PROGRAMS. |
| 5 | (a) Initial Programs and Materials.—Not later |
| 6 | than 1 year after the date of enactment of this Act, the |
| 7 | Secretary of Health and Human Services (in this section |
| 8 | referred to as the "Secretary"), in consultation with ap- |
| 9 | propriate experts, shall develop and disseminate— |
| 10 | (1) a model program and materials for training |
| 11 | health care providers (including physicians, emer- |
| 12 | gency medical personnel, psychologists, counselors, |
| 13 | therapists, behavioral health facilities and clinics, |
| 14 | care managers, and hospitals) regarding the cir- |
| 15 | cumstances under which, consistent with the stand- |
| 16 | ards governing the privacy and security of individ- |
| 17 | ually identifiable health information promulgated by |
| 18 | the Secretary under section 264 of the Health Insur- |
| 19 | ance Portability and Accountability Act of 1996 (42 |
| 20 | U.S.C. 1320–2 note) and part C of title XI of the |
| 21 | Social Security Act (42 U.S.C. 1320d et seq.), the |
| 22 | protected health information of patients with a men- |
| 23 | tal illness may be disclosed with and without patient |
| 24 | consent; |
| | |

| 1 | (2) a model program and materials for training |
|----|--|
| 2 | lawyers and others in the legal profession on such |
| 3 | circumstances; and |
| 4 | (3) a model program and materials for training |
| 5 | patients and their families regarding their rights to |
| 6 | protect and obtain information under the standards |
| 7 | specified in paragraph (1). |
| 8 | (b) Periodic Updates.—The Secretary shall— |
| 9 | (1) periodically review, evaluate, and update the |
| 10 | model programs and materials developed under sub- |
| 11 | section (a); and |
| 12 | (2) disseminate the updated model programs |
| 13 | and materials. |
| 14 | (c) Contents.—The programs and materials devel- |
| 15 | oped under subsection (a) shall address the guidance enti- |
| 16 | tled "HIPAA Privacy Rule and Sharing Information Re- |
| 17 | lated to Mental Health", issued by the Department of |
| 18 | Health and Human Services on February 20, 2014. |
| 19 | (d) COORDINATION.—The Secretary shall carry out |
| 20 | this section in coordination with the Director of the Office |
| 21 | for Civil Rights within the Department of Health and |
| 22 | Human Services, the Administrator of the Substance |
| 23 | Abuse and Mental Health Services Administration, the |
| 24 | Administrator of the Health Resources and Services Ad- |
| | |

- 1 ministration, and the heads of other relevant agencies
- 2 within the Department of Health and Human Services.
- 3 (e) Input of Certain Entities.—In developing the
- 4 model programs and materials required under subsections
- 5 (a) and (b), the Secretary shall solicit the input of relevant
- 6 national, State, and local associations, medical societies,
- 7 and licensing boards.
- 8 (f) AUTHORIZATION OF APPROPRIATIONS.—There is
- 9 authorized to be appropriated to carry out this section
- 10 \$5,000,000 for each of fiscal years 2017 through 2022.
- 11 [SEC. 504. CONFIDENTIALITY OF RECORDS.
- 12 Section 543 of the Public Health Service Act (42
- 13 U.S.C. 290dd–2) is amended by inserting after subsection
- 14 (h) the following:
- 15 **[**"(i) STREAMLINED CONSENT IN INTEGRATED CARE
- 16 Settings.—]
- 17 **\[\(\(\) \(\) \]** IN GENERAL.—For the sharing of
- 18 records described in subsection (a) involving the
- interchange of electronic health records (as defined
- in section 13400 of division A of Public Law 111–
- 5)) solely for the purposes of improving the provi-
- sion of health care and health care coordination sole-
- 23 ly within accountable care organizations described in
- section 1899 of the Social Security Act, health infor-
- 25 mation exchanges (as defined for purposes of section

1 3013), homes defined health (as insection 2 1945(h)(3) of the Social Security Act), or other inte-3 grated care arrangements (in existence before, on, or 4 after the date of the enactment of the Act of _____), a patient's prior written or elec-5 6 tronic consent for disclosure and re-disclosure of 7 records may be provided annually in a generalized 8 and revocable format to and for all of the health 9 care providers in the accountable care organization, 10 health information exchange, health home or other 11 integrated care arrangement, who are involved in the 12 patient's care. 13 ["(2) DISCLOSURE REQUIRED.—For all other 14 disclosures or re-disclosures of the records described in subsection (a), except those expressly proscribed 15 16 in paragraph 1, patient consent is required to be ob-17 tained in accordance with the procedures described 18 in part 2 of title 42, Code of Federal Regulations. 19 ["(3) Prohibitions.—It shall be unlawful for 20 any health plan or health insurance program to use 21 the records described in subsection (a) or this sub-22 section to deny or condition the issuance of a plan, 23 policy, or coverage on the basis of the contents of 24 such records, or for a health care provider to use the 25 records described in subsection (a) and this section

| 1 | to discriminate in the provision of medically nec- |
|----|---|
| 2 | essary health care services to an individual who is |
| 3 | the subject of such records.". |
| 4 | TITLE VI—MEDICARE AND |
| 5 | MEDICAID REFORMS |
| 6 | SEC. 601. ENHANCED MEDICAID COVERAGE RELATING TO |
| 7 | CERTAIN MENTAL HEALTH SERVICES. |
| 8 | (a) Medicaid Coverage of Mental Health |
| 9 | SERVICES AND PRIMARY CARE SERVICES FURNISHED ON |
| 10 | THE SAME DAY.— |
| 11 | (1) In general.—Section 1902(a) of the So- |
| 12 | cial Security Act (42 U.S.C. 1396a(a)) is amended |
| 13 | by inserting after paragraph (77) the following new |
| 14 | paragraph: |
| 15 | "(78) not prohibit payment under the plan for |
| 16 | a mental health service or primary care service fur- |
| 17 | nished to an individual at a community mental |
| 18 | health center meeting the criteria specified in section |
| 19 | 1913(c) of the Public Health Service Act or a Fed- |
| 20 | erally qualified health center (as defined in section |
| 21 | 1861(aa)(4)) for which payment would otherwise be |
| 22 | payable under the plan, with respect to such indi- |
| 23 | vidual, if such service were not a same-day quali- |
| 24 | fying service (as defined in subsection (ll)).". |
| | |

| 1 | (2) Same-day qualifying services de- |
|----|--|
| 2 | FINED.—Section 1902 of the Social Security Act (42 |
| 3 | U.S.C. 1396a) is amended by adding at the end the |
| 4 | following new subsection: |
| 5 | "(ll) Same-day Qualifying Services Defined.— |
| 6 | For purposes of subsection (a)(78), the term 'same-day |
| 7 | qualifying service' means— |
| 8 | "(1) a primary care service furnished to an in- |
| 9 | dividual by a provider at a facility on the same day |
| 10 | a mental health service is furnished to such indi- |
| 11 | vidual by such provider (or another provider) at the |
| 12 | facility; and |
| 13 | "(2) a mental health service furnished to an in- |
| 14 | dividual by a provider at a facility on the same day |
| 15 | a primary care service is furnished to such individual |
| 16 | by such provider (or another provider) at the facil- |
| 17 | ity.". |
| 18 | (b) State Option to Provide Medical Assist- |
| 19 | ANCE FOR CERTAIN INPATIENT PSYCHIATRIC SERVICES |
| 20 | TO NONELDERLY ADULTS.—Section 1905 of the Social |
| 21 | Security Act (42 U.S.C. 1396d) is amended— |
| 22 | (1) in subsection (a)— |
| 23 | (A) in paragraph (16)— |
| 24 | (i) by striking "effective" and insert- |
| 25 | ing "(A) effective"; and |

| 1 | (ii) by inserting before the semicolon |
|----|---|
| 2 | at the end the following: ", and (B) quali- |
| 3 | fied inpatient psychiatric hospital services |
| 4 | (as defined in subsection $(h)(3)$) for indi- |
| 5 | viduals over 21 years of age and under 65 |
| 6 | years of age"; and |
| 7 | (B) in the subdivision (B) that follows |
| 8 | paragraph (29), by inserting "(other than serv- |
| 9 | ices described in subparagraph (B) of para- |
| 10 | graph (16) for individuals described in such |
| 11 | subparagraph)" after "patient in an institution |
| 12 | for mental diseases"; and |
| 13 | (2) in subsection (h), by adding at the end the |
| 14 | following new paragraph: |
| 15 | "(3) For purposes of subsection (a)(16)(B), the |
| 16 | term 'qualified inpatient psychiatric hospital serv- |
| 17 | ices" means, with respect to individuals described in |
| 18 | such subsection, services described in subparagraphs |
| 19 | (A) and (B) of paragraph (1) that are furnished in |
| 20 | an acute care psychiatric unit in a State-operated |
| 21 | psychiatric hospital or a psychiatric hospital (as de- |
| 22 | fined section 1861(f)) if such unit or hospital, as ap- |
| 23 | plicable, has a facility-wide average (determined on |
| 24 | an annual basis) length of stay of less than 30 |
| 25 | days.". |

| (c) | STUDY | AND | REPORT. | |
|-----|--------|-----|------------|---|
| 10. | ועטוטו | AND | TUEL OIGH. | Ī |

(1) STUDY.—The Secretary shall conduct a study to determine the impact of the amendments made by this section on the Medicaid IMD exclusion.

- (2) Report.—Not later than 2 years after the date of enactment of this Act, the Secretary shall submit to Congress a report containing the results of the study conducted under paragraph (1). The report shall include the following information:
 - (A) An assessment of the level of State expenditures on short-term acute inpatient psychiatric hospital care for which no Federal financial participation is provided for the most recent State fiscal year ending prior to the effective date of the amendments made by this section and an analysis of the impact of the changes to the Medicaid IMD exclusion made by such amendments on State expenditures for such care.
 - (B) An assessment of the extent to which States used disproportionate share hospital payment adjustments described in section 1923 of the Social Security Act (42 U.S.C. 1396r–4) to fund short-term acute inpatient psychiatric hospital care prior to the effective date of the

| 1 | amendments made by this section and an anal |
|----|--|
| 2 | ysis of the impact of the changes to the Med |
| 3 | icaid IMD exclusion made by such amendments |
| 4 | on the use of such payment adjustments to |
| 5 | fund such care. |
| 6 | (C) The total amount by which State ex |
| 7 | penditures and the extent to which States use |
| 8 | disproportionate share hospital payment adjust |
| 9 | ments for short-term acute inpatient psychiatric |
| 10 | hospital care have been reduced due to the |
| 11 | changes to the Medicaid IMD exclusion made |
| 12 | by the amendments made by this section. |
| 13 | (D) Recommendations for strategies to en |
| 14 | courage States to reinvest savings in State ex |
| 15 | penditures and disproportionate share hospita |
| 16 | payment adjustments that result from the |
| 17 | changes to the Medicaid IMD exclusion made |
| 18 | by the amendments made by this section in |
| 19 | community-based mental health services. |
| 20 | (3) Definitions.—For purposes of this sub |
| 21 | section: |
| 22 | (A) MEDICAID IMD EXCLUSION.—The term |
| 23 | "Medicaid IMD exclusion" means the prohibi |
| 24 | tion on Federal matching payments under Med |
| 25 | icaid for care or services provided to patients |
| | |

| 1 | who have attained age 22, but have not at- |
|----|---|
| 2 | tained age 65, in an institution for mental dis- |
| 3 | eases under subdivision (B) of the matter fol- |
| 4 | lowing paragraph (29) of section 1905(a) of the |
| 5 | Social Security Act (42 U.S.C. 1396d(a)). |
| 6 | (B) Secretary.—The term "Secretary" |
| 7 | means the Secretary of Health and Human |
| 8 | Services. |
| 9 | (C) Short-term acute inpatient psy- |
| 10 | CHIATRIC HOSPITAL CARE.—The term "short- |
| 11 | term acute inpatient psychiatric hospital care" |
| 12 | means care provided in either— |
| 13 | (i) an acute-care psychiatric unit with |
| 14 | an average annual length of stay of fewer |
| 15 | than 20 days that is operated within a |
| 16 | State-operated psychiatric hospital; or |
| 17 | (ii) a psychiatric hospital with an av- |
| 18 | erage length of stay of fewer than 20 days |
| 19 | on an annual basis. |
| 20 | (d) Effective Date— |
| 21 | (1) In general.—Subject to paragraphs (2) |
| 22 | and (3), the amendments made by this section shall |
| 23 | apply to items and services furnished after the first |
| 24 | day of the first calendar year that begins after the |
| 25 | date of the enactment of this section. |

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(2) CERTIFICATION OF NO INCREASED SPEND-ING.—The amendments made by this section shall not be effective unless the Chief Actuary of the Centers for Medicare & Medicaid Services certifies that the inclusion of qualified inpatient psychiatric hospital services (as defined by paragraph (3) of section 1905(h) of the Social Security Act (42 U.S.C. 1396d(h)), as added by subsection (b)) furnished to nonelderly adults as medical assistance under section 1905(a) of the Social Security Act (42 U.S.C. 1396d(a)), as amended by subsection (b), would not result in any increase in net program spending under title XIX of such Act.

(3) EXCEPTION FOR STATE LEGISLATION.—In the case of a State plan under title XIX of the Social Security Act, which the Secretary of Health and Human Services determines requires State legislation in order for the respective plan to meet any requirement imposed by amendments made by this section, the respective plan shall not be regarded as failing to comply with the requirements of such title solely on the basis of its failure to meet such an additional requirement before the first day of the first calendar quarter beginning after the close of the first regular session of the State legislature that be-

| 1 | gins after the date of enactment of this section. For |
|----|--|
| 2 | purposes of the previous sentence, in the case of a |
| 3 | State that has a 2-year legislative session, each year |
| 4 | of the session shall be considered to be a separate |
| 5 | regular session of the State legislature. |
| 6 | SEC. 602. MODIFICATIONS TO MEDICARE DISCHARGE PLAN- |
| 7 | NING REQUIREMENTS. |
| 8 | Section 1861(ee) of the Social Security Act (42 |
| 9 | U.S.C. 1395x(ee)) is amended— |
| 10 | (1) in paragraph (1), by inserting "and, in the |
| 11 | case of a psychiatric hospital or a psychiatric unit |
| 12 | (as described in the matter following clause (v) of |
| 13 | section 1886(d)(1)(B)), if it also meets the guide- |
| 14 | lines and standards established by the Secretary |
| 15 | under paragraph (4)" before the period at the end; |
| 16 | and |
| 17 | (2) by adding at the end the following new |
| 18 | paragraph: |
| 19 | "(4) The Secretary shall develop guidelines and |
| 20 | standards, in addition to those developed under |
| 21 | paragraph (2), for the discharge planning process of |
| 22 | a psychiatric hospital or a psychiatric unit (as de- |
| 23 | scribed in the matter following clause (v) of section |
| 24 | 1886(d)(1)(B)) in order to ensure a timely and |
| 25 | smooth transition to the most appropriate type of, |

| 1 | and setting for, posthospital or rehabilitative care. |
|----|---|
| 2 | The Secretary shall issue final regulations imple- |
| 3 | menting such guidelines and standards not later |
| 4 | than 24 months after the date of the enactment of |
| 5 | this paragraph. The guidelines and standards shall |
| 6 | include the following: |
| 7 | "(A) The hospital or unit must identify the |
| 8 | types of services needed upon discharge by a |
| 9 | patient being treated by the hospital or unit. |
| 10 | "(B) The hospital or unit must— |
| 11 | "(i) identify organizations that offer |
| 12 | community services to the community that |
| 13 | is served by the hospital or unit and the |
| 14 | types of services provided by the organiza- |
| 15 | tions; and |
| 16 | "(ii) make demonstrated efforts to es- |
| 17 | tablish connections, relationships, and |
| 18 | partnerships with such organizations. |
| 19 | "(C) The hospital or unit must arrange |
| 20 | (with the participation of the patient and of any |
| 21 | other individuals selected by the patient for |
| 22 | such purpose) for the development and imple- |
| 23 | mentation of a discharge plan for the patient as |
| 24 | part of the patient's overall treatment plan |
| 25 | from admission to discharge. Such discharge |
| | |

| 1 | plan shall meet the requirements described in |
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| 2 | subparagraphs (G) and (H) of paragraph (2). |
| 3 | "(D) The hospital or unit shall coordinate |
| 4 | with the patient (or assist the patient with) the |
| 5 | referral for posthospital or rehabilitative care |
| 6 | and as part of that referral the hospital or unit |
| 7 | shall include transmitting to the receiving orga- |
| 8 | nization, in a timely manner, appropriate infor- |
| 9 | mation about the care furnished to the patient |
| 10 | by the hospital or unit and recommendations |
| 11 | for posthospital or rehabilitative care to be fur- |
| 12 | nished to the patient by the organization.". |
| 13 | TITLE VII—RESEARCH BY NA- |
| 13 | |
| 13 | TIONAL INSTITUTE OF MEN- |
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| 14 | TIONAL INSTITUTE OF MEN- |
| 14 15 | TIONAL INSTITUTE OF MEN- TAL HEALTH |
| 14 15 16 | TIONAL INSTITUTE OF MEN- TAL HEALTH SEC. 701. INCREASE IN FUNDING FOR CERTAIN RESEARCH |
| 14 15 16 17 | TIONAL INSTITUTE OF MEN- TAL HEALTH SEC. 701. INCREASE IN FUNDING FOR CERTAIN RESEARCH Section 402A(a) of the Public Health Service Act (42) |
| 14 15 16 17 | TIONAL INSTITUTE OF MENTAL HEALTH SEC. 701. INCREASE IN FUNDING FOR CERTAIN RESEARCH Section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is a mended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is a mended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is a mended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is a mended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is a mended by adding at the end the following section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is a mended by adding at the end the following |
| 114 115 116 117 118 | TIONAL INSTITUTE OF MENTAL HEALTH SEC. 701. INCREASE IN FUNDING FOR CERTAIN RESEARCH Section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following: |
| 14 15 16 17 18 19 20 | TIONAL INSTITUTE OF MENTAL HEALTH SEC. 701. INCREASE IN FUNDING FOR CERTAIN RESEARCH Section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following: "(3) Funding for the brain initiative at |
| 114 115 116 117 118 119 220 221 | TIONAL INSTITUTE OF MENTAL HEALTH SEC. 701. INCREASE IN FUNDING FOR CERTAIN RESEARCH Section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following: "(3) Funding for the brain initiative at the National Institute of Mental Health.— |
| 14 15 16 17 18 19 20 21 | TIONAL INSTITUTE OF MENTAL HEALTH SEC. 701. INCREASE IN FUNDING FOR CERTAIN RESEARCH Section 402A(a) of the Public Health Service Act (42 U.S.C. 282a(a)) is amended by adding at the end the following: "(3) Funding for the Brain initiative at the National Institute of Mental Health.— "(A) Funding.—In addition to amounts |

| 1 | purposes described in subparagraph (B) | | |
|----|---|--|--|
| 2 | \$40,000,000 for each of fiscal years 2017 | | |
| 3 | through 2021. | | |
| 4 | "(B) Purposes.—Amounts appropriated | | |
| 5 | pursuant to subparagraph (A) shall be used ex- | | |
| 6 | clusively for the purpose of conducting or sup- | | |
| 7 | porting— | | |
| 8 | "(i) research on the determinants of | | |
| 9 | self- and other directed-violence in mental | | |
| 10 | illness, including studies directed at reduc- | | |
| 11 | ing the risk of self harm, suicide, and | | |
| 12 | interpersonal violence; or | | |
| 13 | "(ii) brain research through the Brain | | |
| 14 | Research through Advancing Innovative | | |
| 15 | Neurotechnologies Initiative.". | | |
| 16 | TITLE VIII—SAMHSA REAUTHOR- | | |
| 17 | IZATION AND REFORMS | | |
| 18 | Subtitle A—Organization and | | |
| 19 | General Authorities | | |
| 20 | SEC. 801. PEER REVIEW. | | |
| 21 | (a) Section 501(h) of the Public Health Service Act | | |
| 22 | (42 U.S.C. 290aa(h)) is amended by inserting at the end | | |
| 23 | the following: "In the case of any such peer-review group | | |
| 24 | that is reviewing a proposal or grant related to mental | | |
| 25 | illness, no fewer than half of the members of the group | | |

shall have a medical degree, a doctoral degree in psy-2 chology, or advanced degree in nursing or social work from 3 an accredited graduate school, and shall specialize in the 4 mental health field.". 5 (b) Section 504 of the Public Health Service Act (42) 6 U.S.C. 290aa-3) is amended by adding at the end of subsection (b) the following: "At least half of the members 7 8 of any peer-review group established under subsection (a) 9 shall have a medical degree, a doctoral degree in psy-10 chology, or advanced degree in nursing or social work from 11 an accredited graduate school, and shall specialize in the 12 mental health field.". 13 SEC. 802. ADVISORY COUNCILS. 14 Paragraph (3) of section 502(b) of the Public Health 15 Service Act (42 U.S.C. 290aa–1(b)) is amended by adding at the end the following: 16 17 "(C) Not fewer than half of the members 18 of the group shall have a medical degree, a doc-19 toral degree in psychology, or advanced degree 20 in nursing or social work from an accredited 21 graduate school and shall specialize in the men-22 tal health field. 23 "(D) Each advisory committee shall in-24 clude at least one member of the National Insti-

tute of Mental Health and 1 member from any

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| 1 | Federal agency that has a program serving a |
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| 2 | similar population.". |
| 3 | SEC. 803. GRANTS FOR JAIL DIVERSION PROGRAMS REAU- |
| 4 | THORIZATION. |
| 5 | Section 520G(i) of the Public Health Service Act (42 |
| 6 | U.S.C. 290bb–38(i)) is amended by striking "\$10,000,000 |
| 7 | for fiscal year 2001, and such sums as may be necessary |
| 8 | for fiscal years 2002 through 2003" and inserting |
| 9 | " $\$4,269,000$ for fiscal year 2017, and such sums as may |
| 10 | be necessary for each of fiscal years 2018 through 2021". |
| 11 | SEC. 804. PROJECTS FOR ASSISTANCE IN TRANSITION |
| 12 | FROM HOMELESSNESS. |
| 13 | Section 535(a) of the Public Health Service Act (42 |
| 14 | U.S.C. 290cc–35(a)) is amended by striking "\$75,000,000 |
| 15 | for each of the fiscal years 2001 through 2003" and in- |
| 16 | serting "\$64,635,000 for fiscal year 2017, and such sums |
| 17 | as may be necessary for each of fiscal years 2018 through |
| 18 | 2021". |
| 19 | SEC. 805. COMPREHENSIVE COMMUNITY MENTAL HEALTH |
| 20 | SERVICES FOR CHILDREN WITH SERIOUS |
| 21 | EMOTIONAL DISTURBANCES. |
| 22 | Section 565 of the Public Health Service Act (42 |
| 23 | U.S.C. 290ff-4) is amended— |
| 24 | (1) in subsection (b)(1), by striking "receiving |
| 25 | a grant under section 561(a)" and inserting "(irre- |

1 spective of whether the public entity is in receipt of 2 a grant under section 561(a))"; 3 (2) in subsection (b)(1)(B), by striking "pursu-4 ant to section 562" and inserting "described in sec-5 tion 562"; and 6 (3)in subsection (f)(1),striking by 7 "\$100,000,000 for fiscal year 2001, and such sums 8 as may be necessary for each of the fiscal years 9 2002 and 2003" and inserting "\$117,315,000 for 10 fiscal year 2017, and such sums as may be nec-11 essary for each of fiscal years 2018 through 2021". 12 SEC. 806. REAUTHORIZATION OF PRIORITY MENTAL 13 HEALTH NEEDS OF REGIONAL AND NA-14 TIONAL SIGNIFICANCE. 15 Section 520A(f)(1) of the Public Health Service Act 16 (42 U.S.C. 290bb-32(f)(1)) is amended by striking 17 "\$300,000,000 for fiscal year 2001, and such sums as may be necessary for each of the fiscal years 2002 and 18 2003" and inserting "\$377,000,000 for each of fiscal 19 20 years 2017 through 2021".

| 1 | TITLE IX—MENTAL HEALTH |
|----|--|
| 2 | PARITY |
| 3 | SEC. 901. GAO STUDY ON PREVENTING DISCRIMINATORY |
| 4 | COVERAGE LIMITATIONS FOR INDIVIDUALS |
| 5 | WITH SERIOUS MENTAL ILLNESS AND SUB- |
| 6 | STANCE USE DISORDERS. |
| 7 | Not later than 1 year after the date of enactment |
| 8 | of this Act, the Comptroller General of the United States, |
| 9 | in consultation with the Assistant Secretary for Mental |
| 10 | Health and Substance Use Disorders, the Secretary of |
| 11 | Health and Human Services, the Secretary of Labor, and |
| 12 | the Secretary of the Treasury, shall submit to Congress |
| 13 | a report detailing the extent to which covered group health |
| 14 | plans (or health insurance coverage offered in connection |
| 15 | with such plans), including Medicaid managed care plans |
| 16 | under section 1903 of the Social Security Act (42 U.S.C. |
| 17 | 1396b), comply with the Paul Wellstone and Pete Domen- |
| 18 | ici Mental Health Parity and Addiction Equity Act of |
| 19 | 2008 (subtitle B of title V of division C of Public Law |
| 20 | 110–343) (in this section referred to as the "law"), includ- |
| 21 | ing— |
| 22 | (1) how nonquantitative treatment limitations, |
| 23 | including medical necessity criteria, of covered group |
| 24 | health plans comply with the law; |
| | |

| 1 | (2) now the responsible Federal departments |
|----|--|
| 2 | and agencies ensure that plans comply with the law; |
| 3 | and |
| 4 | (3) how proper enforcement, education, and co- |
| 5 | ordination activities within responsible Federal de- |
| 6 | partments and agencies can be used to ensure full |
| 7 | compliance with the law, including educational ac- |
| 8 | tivities directed to State insurance commissioners. |
| 9 | SEC. 902. REPORT ON INVESTIGATIONS REGARDING PAR- |
| 10 | ITY IN MENTAL HEALTH AND SUBSTANCE |
| 11 | USE DISORDER BENEFITS. |
| 12 | (a) In General.—Not later than 1 year after the |
| 13 | enactment of this Act, and annually thereafter, the Ad- |
| 14 | ministrator of the Centers for Medicare & Medicaid Serv- |
| 15 | ices, in collaboration with the Assistant Secretary of Labor |
| 16 | of the Employee Benefits Security Administration and the |
| 17 | Secretary of the Treasury, and in consultation with the |
| 18 | Assistant Secretary for Mental Health and Substance Use |
| 19 | Disorders, shall submit to Congress a report— |
| 20 | (1) identifying Federal investigations conducted |
| 21 | or completed during the preceding 12-month period |
| 22 | regarding compliance with parity in mental health |
| 23 | and substance use disorder benefits, including bene- |
| 24 | fits provided to persons with serious mental illness |
| 25 | and substance use disorders, under the Paul |

| 1 | Wellstone and Pete Domenici Mental Health Parity | |
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| 2 | and Addiction Equity Act of 2008 (subtitle B of title | |
| 3 | V of division C of Public Law 110–343); and | |
| 4 | (2) summarizing the results of such investiga- | |
| 5 | tions. | |
| 6 | (b) Contents.—Subject to subsection (c), each re- | |
| 7 | port under subsection (a) shall include the following infor- | |
| 8 | mation: | |
| 9 | (1) The number of investigations opened and | |
| 10 | closed during the covered reporting period. | |
| 11 | (2) The benefit classification or classifications | |
| 12 | examined by each investigation. | |
| 13 | (3) The subject matter or subject matters of | |
| 14 | each investigation, including quantitative and non- | |
| 15 | quantitative treatment limitations. | |
| 16 | (4) A summary of the basis of the final decision | |
| 17 | rendered for each investigation. | |
| 18 | (e) Limitation.—Individually identifiable informa- | |
| 19 | tion shall be excluded from reports under subsection (a) | |
| 20 | consistent with Federal privacy protections. | |
| 21 | SEC. 903. STRENGTHENING PARITY IN MENTAL HEALTH | |
| 22 | AND SUBSTANCE USE DISORDER BENEFITS. | |
| | | |
| 23 | Section 2726(a) of the Public Health Service Act (42 | |
| | U.S.C. 300gg-26(a)) is amended by adding at the end the | |

| 1 | "(6) Disclosure and enforcement re- |
|----|--|
| 2 | QUIREMENTS.— |
| 3 | "(A) DISCLOSURE REQUIREMENTS.— |
| 4 | "(i) Regulations.—Not later than |
| 5 | March 1, 2016, the Secretary, in coopera- |
| 6 | tion with the Secretary of Labor and the |
| 7 | Secretary of the Treasury shall issue addi- |
| 8 | tional regulations or sub-regulatory guid- |
| 9 | ance for carrying out this section, includ- |
| 10 | ing an explanation of documents that are |
| 11 | required to be disclosed, and analyses that |
| 12 | are required be conducted, including how |
| 13 | non-quantitative treatment limitations are |
| 14 | applied to mental health or substance use |
| 15 | disorder benefits and medical or surgical |
| 16 | benefits covered under the plan, by a group |
| 17 | health plan (or health insurance issuer) of- |
| 18 | fering health insurance coverage in the |
| 19 | group or individual market in order for |
| 20 | such plan or issuer to demonstrate compli- |
| 21 | ance with the provisions of this section. |
| 22 | The disclosure requirements shall include a |
| 23 | report detailing the specific analyses per- |
| 24 | formed to develop a compliance review of |
| 25 | the requirements of the Paul Wellstone |

| I | and Pete Domenici Mental Health Parity |
|----|--|
| 2 | and Addiction Equity Act of 2008, includ- |
| 3 | ing the amendments made by such Act. |
| 4 | With respect to non-quantitative treatment |
| 5 | limitations, this report shall— |
| 6 | "(I) identify the specific factors |
| 7 | in fact used by the plan in performing |
| 8 | its non-quantitative treatment limita- |
| 9 | tions analysis; |
| 10 | "(II) identify and define the spe- |
| 11 | cific evidentiary standards relied on to |
| 12 | evaluate the factors; |
| 13 | "(III) describe how the evi- |
| 14 | dentiary standards were applied to |
| 15 | each service category; |
| 16 | "(IV) disclose the results of the |
| 17 | analyses of the specific evidentiary |
| 18 | standards in each service category; |
| 19 | and |
| 20 | "(V) disclose the plan's specifie |
| 21 | findings in each service category and |
| 22 | the conclusions reached with respect |
| 23 | to compliance with comparability and |
| 24 | stringency of application tests under |
| | |

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| 1 | the non-quantitative treatment limita- |
|----|---|
| 2 | tions rule. |
| 3 | "(ii) Guidance.—The Secretary, in |
| 4 | cooperation with the Secretary of Labor |
| 5 | and the Secretary of the Treasury shall |
| 6 | issue guidance to group health plans and |
| 7 | health insurance issuers offering health in- |
| 8 | surance coverage in the group or individual |
| 9 | markets on how to satisfy the requirements |
| 10 | of this section with respect to making in- |
| 11 | formation, including certificate of coverage |
| 12 | documents and instruments under which |
| 13 | the plan is administered and operated that |
| 14 | specify, include or refer to procedures, for- |
| 15 | mulas, and methodologies applied to deter- |
| 16 | mine a participant or beneficiary's benefit |
| 17 | under the plan, regardless of whether such |
| 18 | information is contained in a document |
| 19 | designated as the 'plan document' available |
| 20 | to current and potential participants and |
| 21 | beneficiaries. This guidance shall include |
| 22 | plan disclosure of how the plan has met |
| 23 | the 2-part test under the non-quantitative |
| 24 | treatment limitations rule of comparability |
| 25 | and stringency in application. |

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| 1 | (B) ENFORCEMENT.— |
|----|---|
| 2 | "(i) Process for complaints.—The |
| 3 | Secretary, in cooperation with the Sec |
| 4 | retary of Labor and Secretary of the |
| 5 | Treasury, as appropriate, shall, with re- |
| 6 | spect to group health plans and health in- |
| 7 | surance issuers offering health insurance |
| 8 | coverage in the group or individual market |
| 9 | issue guidance to clarify the process and |
| 10 | timeline for current and potential partici- |
| 11 | pants and beneficiaries and their author- |
| 12 | ized representatives and providers with re- |
| 13 | spect to such plans and coverage to file |
| 14 | formal complaints of such plans or issuers |
| 15 | being in violation of this section, including |
| 16 | guidance on the relevant individual State |
| 17 | regional, and national offices with which |
| 18 | such claims should be filed by plan type. |
| 19 | "(ii) Authority for public en |
| 20 | FORCEMENT.—The Secretary shall make |
| 21 | available to the public de-identified infor- |
| 22 | mation on audits and investigations of |
| 23 | group health plans and health insurance |
| 24 | issuers conducted under this section. |

"(iii) Audits.—

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| 1 | "(I) RANDOMIZED AUDITS.—The |
|----|---|
| 2 | Secretary is authorized to conduct |
| 3 | randomized audits of group health |
| 4 | plans and health insurance issuers of- |
| 5 | fering health insurance coverage in |
| 6 | the group or individual market to de- |
| 7 | termine compliance with this section. |
| 8 | Such audits shall be conducted on no |
| 9 | fewer than 12 plans and issuers per |
| 10 | plan year. The information shall be |
| 11 | made plainly available on the public |
| 12 | Internet websites of the Department |
| 13 | of Health and Human Services and |
| 14 | the Department of Labor. |
| 15 | "(II) Additional audits.—In |
| 16 | the case of a group health plan or |
| 17 | health insurance issuer offering health |
| 18 | insurance coverage in the group or in- |
| 19 | dividual market with respect to which |
| 20 | at least 5 substantiated claims of the |
| 21 | same type of non-compliance with this |
| 22 | section have been filed during a plan |
| 23 | year, the Secretary shall audit plan |
| 24 | documents to determine compliance |
| 25 | with this section. Information detail- |

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| 1 | ing the results of the audit shall be |
|---|---------------------------------------|
| 2 | made available on the public Internet |
| 3 | website of the Department of Health |
| 4 | and Human Services.". |